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<p>(54) Title: <b>BIOMATERIALS FORMED BY NUCLEOPHILIC ADDITION REACTION TO CONJUGATED UNSATURATED GROUPS</b></p> <p>(54) Titre: <b>BIOMATERIAUX FORMES PAR REACTION D'ADDITION NUCLEOPHILE A DES GROUPES NON SATURES CONJUGUES</b></p> <p>(57) Abstract  The invention features polymeric biomaterials formed by nucleophilic addition reactions to conjugated unsaturated groups. These biomaterials may be used for medical treatments.</p> <p>(57) Abrégé  L'invention concerne des biomatériaux polymères formés par réactions d'addition nucléophiles à des groupes non saturés conjugués. Ces biomatériaux peuvent être utilisés dans des traitements médicaux.</p>		

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(54) Title: <b>BIOMATERIALS FORMED BY NUCLEOPHILIC ADDITION REACTION TO CONJUGATED UNSATURATED GROUPS</b>			
(57) Abstract <p>The invention features polymeric biomaterials formed by nucleophilic addition reactions to conjugated unsaturated groups. These biomaterials may be used for medical treatments.</p>			

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**Description**

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10 **BIOMATERIALS FORMED BY NUCLEOPHILIC ADDITION REACTION TO**  
**CONJUGATED UNSATURATED GROUPS**

15 5 **Cross Reference To Related Applications**

This application claims priority to U.S.S.N. 60/118,093, filed February 1, 1999.

20 **Background of the Invention**

25 10 The present invention relates to biomaterials formed by nucleophilic addition reactions to conjugated unsaturated groups, and the uses of such biomaterials.

30 15 Synthetic biomaterials, including polymeric hydrogels can be used in a variety of applications, including pharmaceutical and surgical applications. They can be used, for example, to deliver therapeutic molecules to a subject, as adhesives or sealants, as tissue engineering and wound healing scaffolds, and as cell transplant devices.

35 20 While much progress has been made in the field of polymeric biomaterials, further developments must be made in order for such biomaterials to be used optimally in the body. For example the formation of biomaterials in the presence of sensitive biological materials is difficult to achieve because the components of the biomaterials do not exhibit a high degree of self selectivity.

40 20 **Summary of the Invention**

45 25 New polymeric biomaterials, including polymeric hydrogels, have been developed for medical treatments. They are unique in their use of addition reactions between a strong nucleophile and a conjugated unsaturation, for polymerizing or cross-linking two or more components in a manner that can be accomplished in the presence of sensitive biological materials. This would include formation of biomaterials in the presence of

5 drugs, including proteins and DNA, formation of biomaterials in the presence of cells and  
10 cell aggregates, and also formation of biomaterials *in vivo* either within the body or upon  
the surface of the body. It is possible to form these biomaterials in the presence of  
15 sensitive biological materials because of the high self-selectivity of the addition reactions  
between strong nucleophiles and conjugated unsaturations, that are employed. The strong  
nucleophile of particular interest in the method described herein is the thiol.

15 In the formation of the biomaterial in the presence of the sensitive biological  
materials, two or more liquid components can be mixed together and react to form either  
20 an elastic solid, a viscoelastic solid (like a typical solid gel, for example, a gel like  
gelatin), a viscoelastic liquid (like a typical gel that can be induced to flow, for example, a  
25 gel like petroleum jelly), a viscoelastic liquid that is formed of gel microparticles (such as  
a Carbopol™ gel) or even a viscous liquid of a considerably higher viscosity than either  
30 of the two precursor components that are mixed together. The chemical conversion from  
the precursors to the final material is so selective that it can be carried out in the presence  
35 of the sensitive biological material, including the case when the biological material is the  
body itself.

40 A novel family of potentially highly biomimetic synthetic polymers has been  
45 developed. These polymers can: (i) be converted from liquid precursors to polymeric  
linear or cross-linked biomaterials either in the laboratory or *in situ* at a site of  
50 implantation; (ii) be hydrogels or more substantially non-swelling materials; (iii) present  
bioactive molecules that serve as adhesion sites, to provide traction for cell invasion; (iv)  
present bioactive molecules that serve as protease substrate sites, to make the material  
degrade in response to enzymes, such as collagenase or plasmin, which are produced by  
55 cells during cell migration; (v) present growth factor binding sites, to make the material  
interact with growth factors in a biomimetic manner, by binding them and then releasing  
them on cellular demand; and (vi) provide for the delivery of protein drugs by hydrolysis

5 or enzymatic degradation of groups contained within the backbone of the polymers that  
form the gel.

10 Accordingly, in a first aspect the invention features a method for making a  
biomaterial, involving combining two or more precursor components of the biomaterial  
5 under conditions that allow polymerization of the two components, where polymerization  
occurs through self selective reaction between a strong nucleophile and a conjugated  
15 unsaturated bond or a conjugated unsaturated group, by nucleophilic addition. The  
functionality of each component is at least two, and the biomaterial does not comprise  
unprocessed albumin. In addition, the conjugated unsaturated bond or group is not a  
20 maleimide or a vinyl sulfone.

10 In one embodiment of the first aspect of the invention, the components are selected  
from the group consisting of oligomers, polymers, biosynthetic proteins or peptides,  
25 naturally occurring peptides or proteins, processed naturally occurring peptides or  
proteins, and polysaccharides. The polymer may be poly(ethylene glycol), poly(ethylene  
15 oxide), poly(vinyl alcohol), poly(ethylene-co-vinyl alcohol), poly(acrylic acid),  
30 poly(ethylene-co-acrylic acid), poly(ethyloxazoline), poly(vinyl pyrrolidone),  
poly(ethylene-co-vinyl pyrrolidone), poly(maleic acid), poly(ethylene-co-maleic acid),  
poly(acrylamide), or poly(ethylene oxide)-co-poly(propylene oxide) block copolymers.  
35 The peptide may comprise an adhesion site, growth factor binding site, or protease  
20 binding site.

40 In another embodiment, the components are functionalized to comprise a strong  
nucleophile or a conjugated unsaturated group or a conjugated unsaturated bond.  
Preferably the strong nucleophile is a thiol or a group containing a thiol. Preferably the  
25 conjugated unsaturated group is an acrylate, an acrylamide, a quinone, or a  
45 vinylpyridinium, for example, 2- or 4-vinylpyridinium. In another embodiment,  
one component has a functionality of at least three.

5                   In yet other embodiments of the first aspect of the invention, the method further  
comprises combining the precursor components with a molecule that comprises an  
10 adhesion site, a growth factor binding site, or a heparin binding site and also comprises  
either a strong nucleophile or a conjugated unsaturated bond or a conjugated unsaturated  
15 group. Preferably the strong nucleophile is a thiol or the conjugated unsaturated bond or  
conjugated unsaturated group is an acrylate, an acrylamide, a quinone, or a vinyl  
pyridinium.

20                   In still other embodiments of the first aspect of the invention, the biomaterial is a  
hydrogel. The biomaterial may also be degradable. The biomaterial may be made in the  
25 presence of sensitive biological molecules, or in the presence of cells or tissues. The  
biomaterial may also be made within or upon the body of an animal.

30                   In still further embodiments of the first aspect of the invention, the method further  
comprises combining the precursor components with an accelerator prior to  
35 polymerization. The method may also further comprise mixing the precursor components  
with a component that comprises at least one conjugated unsaturated bond or conjugated  
unsaturated group and at least one amine reactive group. An additional component may  
also be applied to the cell or tissue surface site of polymerization, the additional  
40 component comprising at least one conjugated unsaturated bond or conjugated  
unsaturated group and at least one amine reactive group.

45                   In a second aspect, the invention features a biomaterial formed by combining two  
or more precursor components of a biomaterial under conditions that allow  
50 polymerization of the two components, where polymerization occurs through self  
selective reaction between a strong nucleophile and a conjugated unsaturated bond or a  
conjugated unsaturated group, by nucleophilic addition. The functionality of each  
component is at least two, the biomaterial does not comprise unprocessed albumin, and  
the conjugated unsaturated bond or conjugated unsaturated group is not a maleimide or a

5 vinyl sulfone.

In one embodiment of the second aspect of the invention, the components are selected from the group consisting of oligomers, polymers, biosynthetic proteins or peptides, naturally occurring peptides or proteins, processed naturally occurring peptides

or proteins, and polysaccharides. The polymer may be poly(ethylene glycol), poly(ethylene oxide), poly(vinyl alcohol), poly(ethylene-co-vinyl alcohol), poly(acrylic acid), poly(ethylene-co-acrylic acid), poly(ethyloxazoline), poly(vinyl pyrrolidone), poly(ethylene-co-vinyl pyrrolidone), poly(maleic acid), poly(ethylene-co-maleic acid), poly(acrylamide), or poly(ethylene oxide)-co-poly(propylene oxide) block copolymers.

10 The peptide may comprise an adhesion site, growth factor binding site, or protease binding site.

In another embodiment of the second aspect of the invention, the components are functionalized to comprise a strong nucleophile or a conjugated unsaturated group or a conjugated unsaturated bond. Preferably the strong nucleophile is a thiol or a group containing a thiol. Preferably the conjugated unsaturated group is an acrylate, an acrylamide, a quinone, or a vinylpyridinium, for example, 2- or 4-vinylpyridinium. In another embodiment, one component has a functionality of at least three.

In yet other embodiments of the second aspect of the invention, the method further comprises combining the precursor components with a molecule that comprises an adhesion site, a growth factor binding site, or a heparin binding site and also comprises either a strong nucleophile or a conjugated unsaturated bond or a conjugated unsaturated group. Preferably the strong nucleophile is a thiol or the conjugated unsaturated bond or conjugated unsaturated group is an acrylate, an acrylamide, a quinone, or a vinyl pyridinium.

In still other embodiments of the first aspect of the invention, the biomaterial is a hydrogel. The biomaterial may also be degradable. The biomaterial may be made in the

5 presence of sensitive biological molecules, or in the presence of cells or tissues. The biomaterial may also be made within or upon the body of an animal.

10 In still further embodiments of the second aspect of the invention, the method further comprises combining the precursor components with an accelerator prior to 5 polymerization. The method may also further comprise mixing the precursor components with a component that comprises at least one conjugated unsaturated bond or conjugated unsaturated group and at least one amine reactive group. An additional component may 15 also be applied to the cell or tissue surface site of polymerization, the additional component comprising at least one conjugated unsaturated bond or conjugated 20 unsaturated group and at least one amine reactive group.

25 In a third aspect, the invention features a method for delivering a therapeutic substance to a cell, tissue, organ, organ system, or body of an animal said method involving contacting the cell, tissue, organ, organ system or body with the biomaterial of 15 the second aspect of the invention, wherein the biomaterial contains a therapeutic substance, whereby the therapeutic substance is delivered to the cell, tissue, organ, organ 30 system, or body of an animal.

35 In one embodiment, the therapeutic substance is selected from the group consisting of proteins, naturally occurring or synthetic organic molecules, nucleic acid molecules, for example DNA or RNA, and a viral particle. In another embodiment, the therapeutic 20 substance is a prodrug. In still another embodiment, the nucleic acid molecule is an antisense nucleic acid molecule.

40 In a fourth aspect, the invention features a method of regenerating a tissue, involving introducing a scaffold to a site, under conditions which permit cell ingrowth. The scaffold may comprising the biomaterial of the second aspect of the invention.

45 In embodiments of the fourth aspect of the invention, the scaffold has been pre-seeded with cells. The tissue may be selected from the group consisting of bone, skin,

5 nerve, blood vessel, and cartilage.

10 In a fifth aspect, the invention features a method of preventing adhesions, thrombosis, or restenosis, involving contacting a site with the biomaterial precursor components of the second aspect of the invention; and polymerizing the components at the site.

15 In a sixth aspect, the invention features a method of sealing a fluid or gas flow, said method comprising the steps of contacting a site within the body of an animal with the biomaterial precursor components of the second aspect of the invention, which may further comprise a component that includes at least one conjugated unsaturated bond or 20 10 conjugated unsaturated group and a least one amine reactive group; and polymerizing the components at the site.

25 In preferred embodiments of the sixth aspect of the invention, the site is a lung, blood vessel, skin, dura barrier, or intestine.

30 15 In a seventh aspect, the invention features a method of encapsulating a cell or tissue, involving combining the precursor components of a biomaterial with a cell or tissue; and polymerizing the components, where polymerization occurs through self selected reaction between a strong nucleophile and a conjugated unsaturated bond or a conjugate unsaturated group, and where the cell or tissue is encapsulated by the 35 20 polymerized biomaterial.

40 25 In an eighth aspect, the invention features a method for making a biomaterial, involving combining two or more precursor components of the biomaterial under conditions that allow polymerization of the two components, where the polymerization occurs through self selective reaction between an amine and a conjugated unsaturated bond or a conjugated unsaturated group, by nucleophilic addition, wherein the 45 25 functionality of each component is at least two, and wherein the biomaterial does not comprise unprocessed albumin, and the unsaturated bond or group is not a maleimide or a

5

vinyl sulfone.

10

In a ninth aspect, the invention features a biomaterial, formed by combining two or more precursor components of the biomaterial under conditions that allow polymerization of the two components, where the polymerization occurs through self selective reaction between an amine and a conjugated unsaturated bond or a conjugated unsaturated group, by nucleophilic addition, wherein the functionality of each component is at least two, and wherein the biomaterial does not comprise unprocessed albumin, and the unsaturated bond or group is not a maleimide or a vinyl sulfone.

15

5 By "biomaterials" is meant material which is intended for contact with the body, either upon the surface of it or implanted within it. Preferably, the biomaterial is formed by a conjugate addition reaction between a strong nucleophile and a conjugated unsaturation.

20

10 As used herein, the words "polymerization" and "cross-linking" are used to indicate a linking of multiple precursor component molecules to result in a substantial 15 increase in molecular weight. "Cross-linking" further indicates branching, typically to 20 yield a polymer network.

25

35 By "self selective" is meant that a first precursor component of the reaction reacts 20 much faster with a second precursor component of the reaction than with other compounds present in the mixture at the site of the reaction, and the second precursor 40 component reacts much faster with the first precursor component than with other compounds present in the mixture at the site of the reaction. The mixture may contain other biological materials, for example, drugs, peptides, proteins, DNA, cells, cell 45 aggregates, and tissues. As used herein, a strong nucleophile preferentially binds to a conjugated unsaturation, rather than to other biological compounds, and a conjugated unsaturated group preferentially binds to a strong nucleophile rather than to other biological compounds.

5                   When the highest degree of self selectivity is desired in the methods of the  
invention, a thiol is the nucleophile of choice. When the highest level of selectivity is not  
required in the methods of the invention, an amine may be used as the strong nucleophile.  
10                  Conditions utilized to complete the self selective reaction of the present invention can be  
altered to increase the degree of self selectivity, as provided herein. For example, if an  
15                  5 amine is used as the strong nucleophile in the formation of a biomaterial by selection of  
an amine with a low pK, and the final precursor solution to be polymerized is formulated  
such that the pH is near the pK, the reaction of the unsaturation with the provided amine  
is favored and thus self selectivity is achieved.

20                  10 By "strong nucleophile" is meant a molecule which is capable of donating an  
electron pair to an electrophile in a polar-bond forming reaction. Preferably the strong  
nucleophile is more nucleophilic than H<sub>2</sub>O at physiologic pH. Examples of strong  
25                  nucleophiles are thiols and amines.

30                  15 A thiol is the preferred strong nucleophile to be used in the present invention, as it  
exhibits high self-selectivity. Very few sterically accessible thiols are present in proteins  
that are found outside cells. Amines may also be useful and self-selective especially  
35                  30 when the biomaterial-forming reaction is conducted in the presence of sensitive biological  
molecules that do not bear amines, for example, many drugs.

40                  35 By "conjugated unsaturated bond" is meant the alternation of carbon-carbon,  
carbon-heteroatom or heteroatom-heteroatom multiple bonds with single bonds, or the  
linking of a functional group to a macromolecule, such as a synthetic polymer or a  
45                  20 protein. Such bonds can undergo addition reactions.

50                  40 By "conjugated unsaturated group" is meant a molecule or a region of a molecule,  
containing an alternation of carbon-carbon, carbon-heteroatom or heteroatom-heteroatom  
55                  25 multiple bonds with single bonds, which has a multiple bond which can undergo addition  
reactions. Examples of conjugated unsaturated groups include, but are not limited to

acrylates, acrylamides, quinones, and vinylpyridiniums, for example, 2- or 4-vinylpyridinium.

By "substantially pure peptide," "substantially pure polypeptide", or "substantially pure protein" is meant a polypeptide that has been separated from the components that naturally accompany it. As used herein the terms peptide, polypeptide, and protein are used interchangeably. Typically, the polypeptide is substantially pure when it is at least 60%, by weight, free from the proteins and naturally-occurring organic molecules with which it is naturally associated. Preferably, the polypeptide is at least 75%, more preferably, at least 90%, and most preferably, at least 99%, by weight, pure. A substantially pure polypeptide of interest may be obtained, for example, by extraction from a natural source (e.g., a cell, cell aggregate, or tissue) by expression of a recombinant nucleic acid encoding the desired polypeptide, or by chemically synthesizing the protein. Purity can be assayed by any appropriate method, for example, by column chromatography, polyacrylamide gel electrophoresis, agarose gel electrophoresis, optical density, or HPLC analysis.

A protein is substantially free of naturally associated components when it is separated from those contaminants which accompany it in its natural state. Thus, a protein which is chemically synthesized or produced in a cellular system different from the cell from which it naturally originates will be substantially free from its naturally associated components. Accordingly, substantially pure polypeptides include those derived from eukaryotic organisms but synthesized in *E. coli* or other prokaryotes.

By "purified nucleic acid" is meant a nucleic acid molecule that is free of the genes which, in the naturally-occurring genome of the organism from which the nucleic acid of the invention is derived, flank the gene. The term therefore includes, for example, a recombinant DNA which is incorporated into a vector; into an autonomously replicating plasmid or virus; or into the genomic DNA of a prokaryote or eukaryote; or which exists

5 as a separate molecule (e.g., a cDNA or a genomic or cDNA fragment produced by PCR or restriction endonuclease digestion) independent of other sequences. It also includes recombinant DNA which is part of a hybrid gene encoding additional polypeptide  
10 sequence.

5 By "functionalize" is meant to modify in a manner that results in the attachment of  
15 a functional group or moiety. For example, a molecule may be functionalized by the introduction of a molecule which makes the molecule a strong nucleophile or a conjugated unsaturation. Preferably a molecule, for example PEG, is functionalized to become a thiol, amine, acrylate, or quinone.

20 10 Proteins in particular may also be effectively functionalized by partial or complete reduction of disulfide bonds to create free thiols.

25 By "functionality" is meant the number of reactive sites on a molecule. As used herein, the functionality of a strong nucleophile and a conjugated unsaturation will each be at least two. Mixing two components, for example, a strong nucleophile and a conjugated unsaturation, with functionalities of two each will result in a linear polymeric biomaterial, and the mixing to two components with functionalities of at least two each, one of the components having a functionality of more than two, will result in a cross-linked biomaterial.

30 35 20 By "adhesion site" is meant a peptide sequence to which a molecule, for example, an adhesion-promoting receptor on the surface of a cell, binds. Examples of adhesion sites include, but are not limited to, the RGD sequence from fibronectin, and the YIGSR sequence from laminin. Preferably adhesion sites are incorporated into the biomaterial of the present invention.

40 45 25 By "growth factor binding site" is meant a peptide sequence to which a growth factor, or a molecule(s) which binds a growth factor binds. For example, the growth factor binding site may include a heparin binding site. This site will bind heparin, which

5 will in turn, bind heparin-binding growth factors, for example, bFGF, VEGF, BMP, or  
TGF $\beta$ .

10 By "protease binding site" is meant a peptide sequence which is a substrate for an  
enzyme.

15 By "antisense nucleic acid" is meant a nucleic acid sequence, regardless of length,  
that is complementary to the coding strand gene encoding a protein of interest.  
Preferably, the antisense nucleic acid is capable of decreasing the biological activity of  
said protein of interest when present in a cell. Preferably, the decrease is at least 10%,  
relative to a control, more preferably, 25%, and most preferably, 100%.

20 10 By "biological activity" is meant functional events mediated by a protein of  
interest. In some embodiments, this includes events assayed by measuring the  
interactions of a polypeptide with another polypeptide. It also includes assaying the  
effect which the protein of interest has on cell growth, differentiation, death, migration,  
adhesion, interactions with other proteins, enzymatic activity, protein phosphorylation or  
dephosphorylation, transcription, or translation.

25 15 By "sensitive biological molecule" is meant a molecule that is found in a cell, or in  
a body, or which can be used as a therapeutic for a cell or a body, which may react with  
other molecules in its presence. Examples of sensitive biological molecules include, but  
are not limited to, peptides, proteins, nucleic acids, and drugs. In the present invention  
30 20 biomaterials can be made in the presence of sensitive biological materials, without  
adversely affecting the sensitive biological materials.

35 25 As used herein, by "regenerate" is meant to grow back a portion, or all of, a tissue.  
40 For example, the present invention features methods of regenerating bone following  
trauma, tumor removal, or spinal fusion, or for regenerating skin to aid in the healing of  
45 25 diabetic foot ulcers, pressure sores, and venous insufficiency. Other tissues which may be  
regenerated include, but are not limited to, nerve, blood vessel, and cartilage tissue.

5 By "cell transplantation" is meant transplanting a cell, cell aggregate, or tissue into  
a subject. The biomaterial of the present invention can be used to isolate transplanted  
10 cells, cell aggregates, or tissues in the subject from the subject's defense system, while  
allowing the selective transport of molecules required for normal cell function.

5 Brief Description of the Drawings

15 Fig. 1 is a graph of the effect of changing the amino acid residues adjacent to  
cysteine on the rate of conjugate addition on acrylates (PEG-acrylate).

20 Fig. 2 is a schematic representation of a conjugate addition reaction, used as a  
model to study kinetics of a thiol (on cysteine) addition to the acrylate on PEG diacrylate.

25 Fig. 3 is a graph showing the effect of pH on the addition reaction between a thiol  
(on cysteine) and PEG diacrylate.

30 Fig. 4 is a graph of the effect of different PEGDA contents on the absorbance per  
mole of reagent, the average extinction coefficient (i.e., absorbance divided by the sum of  
the PEGDA and cysteine concentration; this sum is kept constant to  $2.5 \times 10^{-3}$  M).

35 Fig. 5 is a graph showing the effect the steric influence of groups near the site of  
the conjugated unsaturation has on the reaction between a thiol (on cysteine) and an  
acrylate, crotonoate, or dimethylacrylate of an accordingly functionalized PEG.

40 Fig. 6 is a graph showing the effect of the incorporation of an RGD peptide  
sequence into hydrogels of the present invention on cell adherence and spreading.

45 Fig. 7 is a graph showing the release of myoglobin from hydrogel-embedded  
collagen (Helistat) sponges. Note that at day 14, plasmin was added to the materials and  
this lead to the release of more myoglobin from the plasmin-sensitive hydrogels.

50 Fig. 8 is a strain-stress curve for a 75% solid gel prepared in an aqueous system.  
The gels were prepared using pentaerythritol tetrakis (3-mercaptopropionate) and PEG  
25 diacrylate 570 at 75% solid in phosphate buffered saline at pH 9.0. The gels showed

5 approximately 37% deformation and 2 MPa Ultimate strength when submitted to  
compressive loads.

10 Fig. 9 shows stress-strain curves for a 75% solid gel prepared in an aqueous  
system with various contents of pentaerythritol triacrylate replacing the PEG diacrylate  
5 570. The gels were prepared using pentaerythritol tetrakis (3-mercaptopropionate) and  
15 PEG diacrylate 570 and pentaerythritol triacrylate at 75% solid in phosphate buffered  
saline at pH 9.0. The gels showed that the stiffness of the gel was manipulated by the  
content of the hydrophobic triacrylate.

20 Fig. 10 is a graph showing the effect of the addition of inorganic particles or  
10 surfactants to the gels on the ultimate strength of the gels. Gels prepared in the aqueous  
system at 75% solid (75% solid gels) were compared to those in which BaSO<sub>4</sub> was added  
25 at 10%, or when a surfactant, sorbitan monooleate (Emulsion), was added at 1%. Gel  
5 obtained from precursors pre-reacted were also compared to gels obtained by the  
pentaerythritol tetrakis (3-mercaptopropionate) and PEG diacrylate 570 precursors (Pre-  
15 reacted precursors).

30 Fig. 11 is a graph showing the effect of the addition of inorganic particles or  
surfactants to the gels on the stiffness of the gels. Gels prepared in the aqueous system at  
75% solid (75% solid gels) were compared to those in which BaSO<sub>4</sub> was added at 10%, or  
35 when a surfactant, sorbitan monooleate (Emulsion), was added at 1%. Gels obtained  
20 from precursors pre-reacted were also compared to gels obtained by the pentaerythritol  
tetrakis (3-mercaptopropionate) and PEG diacrylate 570 precursors (Pre-reacted  
40 precursors).

45 Fig. 12 is a stress-strain curve for a gel prepared in an aqueous system loaded with  
fumed silica (14 nm). The gels were prepared using pentaerythritol tetrakis (3-  
25 mercaptopropionate) and PEG diacrylate 570 in phosphate buffered saline at pH 9.0,  
reinforced with fumed silica particles (14 nm).

Fig. 13 shows a stress-strain curve for a 10% solid gel prepared in a N-methyl pyrrolidone/PEG 400 cosolvent. The gels were prepared using pentaerythritol tetrakis (3-mercaptopropionate) and PEG diacrylate 570 at 10% solid in N-methyl pyrrolidone/PEG 400.

Fig. 14 shows elastic and complex moduli ( $G'$  and  $G''$ ) for pentaerythritol tetrakis (3-mercaptopropionate) and PEG diacrylate 570. Pentaerythritol tetrakis (3-mercaptopropionate) and PEG diacrylate 570 were mixed with a 1 SH to 1 C=C ratio without phosphate buffered saline pH 9.0 buffer. The mixture was vortexed and then the elastic and complex moduli were determined with time by rheology.

Fig. 15 shows elastic and complex moduli ( $G'$  and  $G''$ ) at 37°C for pentaerythritol tetrakis (3-mercaptopropionate) and PEG diacrylate 570 activated with phosphate buffered saline at pH 9.0. Pentaerythritol tetrakis (3-mercaptopropionate) and PEG diacrylate 570 were mixed with a 1 SH to 1 C=C ratio and phosphate buffered saline pH 9.0 was added. The mixture was vortexed and then the elastic (♦) and complex (■) moduli were determined with time by rheology.

### Detailed Description

## I. *In vivo* Synthesis or Application of Biomaterials

### The chemical reaction system used for biomaterial formation

A novel chemical reaction scheme has been developed by which to polymerize or cross-link (the words are used as synonyms herein) two or more precursor components of a biomaterial *in situ* or in the presence of sensitive biological materials in a very self-selective manner. Commonly, two precursor components are mixed together. These two precursor components are self-selective in their reaction rates (i.e., a first precursor component reacts much faster with a second precursor component than with other

5 components in the sensitive biological material and the second precursor component  
10 reacts much quicker with the first precursor component than with other components in the  
sensitive biological material). When both of these precursor components have a  
15 functionality of at least two, and when one of them has a functionality greater than two,  
the system will self-selectively react to form a cross-linked biomaterial. The word  
20 'functionality' is used here in the sense used in polymer science (i.e., the number of  
reactive sites). Thus, mixing two components with functionalities of two each will result  
25 in a linear polymeric biomaterial, and the mixing to two components with functionalities  
of at least two each, one of the components having a functionality of more than two, will  
30 result in a cross-linked biomaterial.

When both of the precursor components have a functionality of two, a linear  
35 polymeric biomaterial will result. Both situations can be useful. In cross-linked  
biomaterials, the components can be very hydrophilic and the overall material can yet  
40 remain as an intact solid, not dispersing throughout the body. If such a non-dispersing  
biomaterial is desired for a linear polymeric biomaterial, it is useful if at least one precursor  
45 component be hydrophobic, such that the resulting biomaterial also be insoluble in water  
or body fluids. Other approaches are also possible, for example, when the two precursor  
50 components otherwise interact to become insoluble, or, when one or both precursors  
respond to pH, temperature or other stimuli to become more or less soluble, or when one  
precursor component is a polycation and the other precursor component is a polyanion, or  
when one precursor component strongly hydrogen bonds to the other.

The chemical reaction system of the present invention makes use of addition  
55 reactions, in which one component possesses a strong nucleophile and the other  
component possesses a conjugated unsaturation, or a conjugated unsaturation. Of  
particular interest in this invention as strong nucleophiles are thiols. Preferably, the  
system makes use of conjugate addition reactions between a thiol and a conjugated

5 unsaturation (e.g., an acrylate or a quinone). This reaction system can be made to be self-  
10 selective, meaning substantially unreactive with other chemical groups found in most  
sensitive biological compounds of interest (most drugs, peptides, proteins, DNA, cells,  
15 cell aggregates, and tissues). It is particularly useful when one or both of these  
components is part of a polymer or oligomer, however other possibilities are also  
indicated herein.

15 Proteins contain the amino acid cysteine, the side chain of which terminates in a  
thiol. In spite of this, there are very few free thiols within the protein: most proteins  
20 contain an even number of cysteine residues, and these are then paired and form disulfide  
cross-links between various regions of the protein. Some proteins contain an odd number  
25 of cysteine residues and most of these are present as disulfide linked dimers, again  
resulting in no free thiol residues being present in the native protein. Thus, there are very  
30 few free thiols in proteins. Some important electron transferring molecules, such as  
glutathione, contain a free thiol, but these molecules are generally restricted in their  
35 spatial location to the inside of a cell. Accordingly, conjugated unsaturated structures  
presented outside the cell will be substantially unreactive with most proteins at near-  
physiological conditions. Amines are also nucleophiles, although not as good a  
40 nucleophile as thiols. The pH of the reaction environment is important in this  
consideration. In particular, unprotonated amines are generally better nucleophiles than  
45 protonated amines. At physiological pH, amines on the side chain of lysine are almost  
exclusively protonated, and thus not very reactive. The alpha amine of the N-terminus of  
peptides and proteins has a much lower pK than the side chain epsilon amine;  
accordingly, at physiological pH it is more reactive to conjugate additions than are the  
50 epsilon amines of the lysine side chain.

55 Notwithstanding, the thiol is substantially more reactive than the unprotonated  
amine. As stated, the pH is an important in this consideration: the deprotonated thiol is

5 substantially more reactive than the protonated thiol. In conclusion, the addition reactions  
10 involving a conjugated unsaturation, such as an acrylate or a quinone, with a thiol, to  
convert two precursor components into a biomaterial will often be best carried out  
(meaning fastest, most self-selective) at a pH of approximately 8, where most of the thiols  
15 of interest are deprotonated (and thus more reactive) and where most of the amines of  
interest are still protonated (and thus less reactive). When a thiol is used as the first  
component, a conjugate structure that is selective in its reactivity for the thiol relative to  
amines is highly desirable.

20 If the conjugated structures are kept outside of cells, there are very few reactive  
10 nucleophiles with which to react to induce toxicity. One can typically accomplish this  
spatial restriction by making the conjugated component be of high molecular weight, be  
hydrophilic, or both.

25 Polyethylene glycol (PEG) provides a very convenient building block. One can  
readily purchase or synthesize linear (meaning with two ends) or branched (meaning  
15 more than two ends) PEGs and then functionalize the PEG end groups to introduce either  
a strong nucleophile, such as a thiol, or a conjugated structure, such as an acrylate or a  
30 quinone. When these components are either mixed with each other or are mixed with a  
corresponding component, a hydrogel material will form. One may react a PEG  
35 component with a non-PEG component, controlling the molecular weight or  
hydrophilicity of either component to manipulate the mechanical characteristics, the  
40 permeability, and the water content of the resulting biomaterial. These materials are  
generally useful in medical implants, as described in more detail below.

45 In the formation of biomaterials, especially biomaterials that are desired to degrade  
*in vivo*, peptides provide a very convenient building block. It is straightforward to  
25 synthesize peptides that contain two or more cysteine residues, and this component can  
then readily serve as the nucleophilic precursor component of a biomaterial, especially a

5 hydrogel biomaterial. For example, a peptide with two free cysteine residues will readily  
10 form a hydrogel when mixed with a PEG triacrylate at physiological or slightly higher pH  
(e.g., 8 to 9; the gelation will also proceed well at even higher pH, but at the potential  
15 expense of self-selectivity). When the two liquid precursor components are mixed  
20 together, they react over a period of a few minutes to form an elastic gel, consisting of a  
network of PEG chains, bearing the nodes of the network, with the peptides as connecting  
links. The peptides can be selected as protease substrates, so as to make the network  
25 capable of being infiltrated and degraded by cells, much as they would do in a protein-  
based network. The gelation is self-selective, meaning the peptide reacts mostly with the  
30 PEG component and no other components, and the PEG component reacts mostly with  
the peptide and no other components; if desired, one can design and incorporate  
35 biofunctional agents to provide chemical bonding to other species (e.g., a tissue surface).  
These gels are operationally simple to form: one mixes two liquid precursors, one  
containing the peptide and the other containing the functionalized PEG. Because, in this  
40 example, physiological saline can serve as the solvent, and because minimal heat is  
generated by reaction, and because neither the PEG triacrylate nor the peptide can readily  
45 diffuse inside cells, the gelation can be carried out *in vivo* or *in vitro*, in direct contact  
with tissue, without untoward toxicity. It is clear that polymers other than PEG may be  
50 used, either telechelically modified or modified on their side groups.

20 *Protease sites*

40 One special feature of the chemical cross-linking scheme of this invention is that it  
is self-selective, meaning that it does not react with other features on peptides or proteins.  
45 Thus, one can employ peptides as one component, as described above, and not chemically  
react with side groups on the peptide other than cysteine residues. This means that a  
50 variety of bioactive peptides can be incorporated into the resulting biomaterial structure.

5 For example, a peptide used as a dithiol for cross-linking purposes can be designed to be  
a substrate for an enzyme used by cells migration through tissues and remodel tissues  
10 (e.g., as a substrate for plasmin, elastase or matrix metalloproteinases (MMPs), such as  
collagenase). The degradation characteristics of the gels can be manipulated by changing  
15 the details of the peptide that serves as the cross-linking nodes. One may make a gel that  
is degradable by collagenase, but not plasmin, or by plasmin, but not collagenase.  
20 Furthermore, it is possible to make the gel degrade faster or slower in response to such an  
enzyme, simply by changing the amino acid sequence so as to alter the  $K_m$  or  $k_{cat}$ , or both,  
25 of the enzymatic reaction. One can thus make a biomaterial that is biomimetic, in that it  
is capable of being remodeled by the normal remodeling characteristics of cells.

*Adhesion sites*

25 One can incorporate peptide sites for cell adhesion, namely peptides that bind to  
adhesion-promoting receptors on the surfaces of cells into the biomaterials of the present  
30 invention. It is straightforward to incorporate a variety of such adhesion-promoting  
peptides, such as the RGD sequence from fibronectin or the YIGSR sequence from  
35 laminin. As above, this can be done, for example, simply by mixing a cysteine-  
containing peptide with PEG diacrylate or triacrylate, PEG diacrylamide or triacrylamide  
40 or PEG diquinone or triquinone a few minutes before mixing with the remainder of the  
thiol-containing precursor component. During this first step, the adhesion-promoting  
45 peptide will become incorporated into one end of the PEG multiply functionalized with a  
conjugated unsaturation; when the remaining multithiol is added to the system, a cross-  
linked network will form. Thus, for example, when an adhesion peptide containing one  
50 cysteine is mixed with a PEG triacrylate (at, e.g., 0.1 mole of peptide per mole of acrylate  
end group), and then a protease substrate peptide containing two cysteine residues is  
added to form the three-dimensional network (at, e.g., equimolar less 0.1 mole peptide per

mole of acrylate end group), the resulting material will be highly biomimetic: the combination of incorporated adhesion sites and protease sites permits a cell to establish traction in the material as it degrades a pathway for its migration, exactly as the cell would naturally do in the extracellular matrix *in vivo*. In this case, the adhesion site is pendants incorporated into the material. One could also incorporate the adhesion site directly into the backbone of the material. This could be done in more than one way. One way would be to include two or more thiols (e.g., cysteine) in the adhesion peptide or protein. One could alternatively synthesize the adhesion peptide (e.g., using solution phase chemistry) directly onto a polymer, such as PEG, and include at least one thiol (e.g., cysteine) or conjugated unsaturation per chain end.

### *Growth factor binding sites*

One can further enhance the biomimetic nature of the biomaterials of the present invention, especially when they are formed from water-soluble components so as to be hydrogels, by the incorporation of growth factor binding domains. For example, heparin-binding peptides can be employed to bind heparin, which can in turn be employed to bind heparin-binding growth factors, such as bFGF, VEGF, BMP or TGF $\beta$ . As such, if the heparin-binding growth factor, heparin, and the activated heparin-binding peptide were mixed with the activated PEG (similarly as described in the preceding section), the resulting gel will slowly release the growth factor, holding most of it until an invading cell released the growth factor by degradation of the gel. This is one of the natural functions of the extracellular matrix *in vivo*, to serve as a depot for growth factors which become released in injury by local cellular activity. Another related way to sequester heparin-binding growth factors would be more directly through the use of covalently incorporated heparin mimics, for example, peptides with negatively charged side chains, that directly bind growth factors. Moreover, since the biomaterial itself is a network, it

5 can be used to release a growth factor that is simply physically incorporated and is  
10 released slowly by degradation or diffusion, or a combination thereof. It should be  
understood that because the gelation chemistry is self-selective, the growth factor itself  
15 and the other bioactive peptides are not chemically modified so as to destroy their  
biological activity. This important aspect of self-selectivity obviates the need, for  
example, to encapsulate the growth factor in polymer particles (to thereby protect it from  
the gelation chemistry, if the gelation chemistry were to react with side groups that are  
20 present free on the growth factor, such as the epsilon amines present on the side chains of  
lysine in the protein).

20 10 **Drug Delivery from Hydrogels Formed by Conjugate Addition Reactions**

25 Hydrogels are particularly useful for the delivery of protein therapeutics.

Hydrogels are biocompatible, and provide a gentle environment for proteins so as to  
30 minimize denaturation of the proteins. Conjugate addition reactions with thiols are  
utilized for the production of gels in the presence of proteins, because of the self-  
selectivity of these reactions as compared with nucleophilic substitution reactions, free-  
radical reactions or reactions involving amines for reactivity. Thus, the proteins are  
35 physically entrapped within the gels. Additionally, degradable segments can be  
incorporated within the polymers that form the hydrogel, and via degradation of segments  
within the gel, the proteins will be released as the gel degrades. A particularly useful  
40 embodiment of the invention occurs in the case when the conjugate addition reaction  
itself leads to a structure that is particularly prone to hydrolysis.

45 20 In the majority of cases, protein drugs or high molecular weight therapeutics such  
as antisense oligonucleotides or genes are delivered from degradable hydrophobic  
materials, such as polylactic acid. However, we describe more hydrophilic materials,  
50 25 such as cross-linked polyethylene glycol functionalized with thiols, with conjugated

5 unsaturations, or both. Other examples exist, including photo-cross-linked polyethylene  
10 glycol (Pathak et al., Journal of the American Chemical Society 114:8311-8312, 1992)  
and polyethylene glycol cross-linked by nucleophilic substitution reactions (Zhao et al.,  
15 Polymer Preprints 38:526-527, 1997; WO 99/2270; WO 99/34833, and WO 99/14259).

5 The cross-linking via conjugate addition chemistries with thiols exhibits excellent self-  
10 selectivity, in that reaction between the conjugated group and other groups, such as  
15 amines, in proteins, will be quite slow. When the protein to be incorporated contains a  
free thiol, this will be reacted with the biomaterial system unless it is otherwise protected  
or reacted.

20 10 An additional advantage to the use of biomaterials formed by conjugate addition  
25 with thiols to encapsulate and release proteins arises due to the chemistry of groups  
generated by the conjugate addition cross-linking. If the conjugated group is an acrylate,  
30 then a relatively unstable ester is present in the system. If the acrylate were subjected to  
35 free-radical cross-linking, it has been found that such gels degrade only very slowly at pH  
40 15 7.4 and 37°C, with a gel that degrades over the period of about a year. However, if the  
45 acrylate group is reacted with a thiol, the ester of the acrylate group hydrolyzes with a  
half-life of approximately 3 weeks, producing gels that degrade over about 3 weeks (as  
described below). Whereas in the case of free-radical cross-linking, special groups must  
be included between the polyethylene glycol and the acrylate to promote degradation of  
50 the gel (such as polylactic acid oligomers; Pathak, *supra*), no special groups are required  
between the acrylate and the polyethylene glycol in the case of the conjugate addition  
cross-linking. One can employ more stable linkers between the conjugated unsaturation  
and the polymer, and then incorporated a domain that is degradable by hydrolysis, such as  
55 an oligomer of glycolic acid, lactic acid, epsilon caprolactone, or trimethylene carbonate,  
between the polymer and the conjugated unsaturation, to obtain degradation of the  
biomaterial by degradation of these domains.

5

**Biomedical Applications for Hydrogels**

Hydrogels are polymeric materials that are highly swollen with water. For many applications, hydrogels are especially useful. Hydrogels are of interest for myriad biomedical applications. These include but are not limited to barrier applications (adhesion preventives, sealants), drug delivery devices, tissue engineering and wound healing scaffolds, materials for cell encapsulation and transplantation, materials for surgical augmentation of tissues and materials for sealants and adhesives. An incomplete but illustrative list of applications for hydrogels in biomedicine follows:

1. Hydrogels for adhesion prevention are desirable to minimize unwanted post-operative or other post-traumatic adhesions. Such adhesions can be proteinaceous or cellular, or both. For example, postoperative abdominopelvic adhesions can lead to chronic pain, bowel obstruction, and infertility. As a second example, unwanted adhesion between blood platelets and the blood vessel wall surface after balloon angioplasty in the vascular system can lead to thrombosis and restenosis. Materials cured *in situ* upon a surgical site may be useful in preventing postoperative adhesions, especially when these materials degrade over a period of several days to weeks. Materials cured *in situ* upon the surface of an injured artery may be useful in preventing thrombosis upon the site of vascular trauma associated with catheter intervention, deployment of a stent, or surgery.

2. Hydrogels as glues or sealants are desirable to seal leaks in tissues that isolate (gas or liquid phase) fluid-containing cavities. Some examples are blood vessels, the skin, the lung, the dura barrier, and the intestine. The materials may be useful internally, for example, in sealing air leaks on the lung, and externally, for example, in closing incisions on the skin.

3. Hydrogels can also be useful as localized drug delivery devices. A drug may be any biologically active molecule, for example, a natural product, synthetic drug, protein (such as growth factors or enzymes), or genetic material. The functional properties of

5 such a drug must be preserved by its carrier. The drug may be released by diffusive  
10 mechanisms or by degradation of the gel carrier through a variety of mechanisms (such as  
hydrolysis or enzymatic degradation) or by other sensing mechanisms (for example, pH  
induced swelling). Given that many drugs contain reactive groups, it is important that the  
15 material that serves as the carrier not react with the material in an undesirable manner; as  
such, the high self-selectivity of reactions between conjugated unsaturations and thiols is  
very useful in drug encapsulation.

20 4. Hydrogels as scaffolds are desirable for tissue engineering and wound healing  
applications: nerve regeneration, angiogenesis, and skin, bone and cartilage repair and  
25 regeneration. Such scaffolds may be introduced to the body pre-seeded with cells or may  
depend upon cell infiltration from outside the material in the tissues near the implanted  
biomaterial. Such scaffolds may contain (through covalent or non-covalent bonds) cell  
interactive molecules like adhesion peptides and growth factors.

30 5. Hydrogels also have biomedical applications as cell transplant devices. Such  
devices serve to isolate cells (e.g., allograft or xenograft) from a host's defense system  
(immunoprotect) while allowing selective transport of molecules such as oxygen, carbon  
35 dioxide, glucose, hormones, and insulin and other growth factors, thus enabling  
encapsulated cells to retain their normal functions and to provide desired benefits, such as  
the release of a therapeutic protein that can diffuse through the immunoprotection  
40 hydrogel membrane to the recipient.

45 6. Hydrogels can be responsive to their environment. They can be designed to  
increase network formation, and thus attachment, between gel and tissue because when  
initially injected the components are water borne and water soluble. Upon transition of  
25 the active stimuli (e.g., temperature or pH) one or both of the precursors become water  
insoluble giving lower average water content and result in increased stiffness and  
improved mechanical properties of the resulting gel.

5                   In some of these examples cited above, it is desirable to form therapeutic  
hydrogels at their final destination in the body. Implantable materials which can be  
10                injected in the liquid phase to a target site where they can then be transformed into solid  
materials are therefore of interest. The shape of such an implant can match the tissue  
15                topography, and a relatively large implant can be delivered through minimally invasive  
methods. Often, good adhesion to the underlying tissue substrate can be achieved, for  
example, by intimate penetration of the liquid precursors into texture on the tissue surface  
20                or by phase interpenetration to form an interpenetrating polymer network between the  
biomaterial polymer network and the natural tissue extracellular materials, which are also  
a polymer network. One can also design additional materials to serve a role as coupling  
25                agent to enhance adhesion. For example, one can design a heterobifunctional coupling  
agent, with an activated ester (such as an N-succinimidyl activated ester derivative) or an  
epoxide group on one end and a conjugated structure that reacts slowly with amines on  
30                the other end. Such an agent would react with proteins on the tissue surface when applied  
to the tissue surface and would then immobilize conjugated groups for chemical  
35                incorporation into the biomaterial network during polymerization or cross-linking. This  
pre-treatment step would thereby introduce upon the surface of the tissue chemical groups  
that could participate in the self-selective cross-linking between the two components of  
the final precursor solution.

40                20                There are many ways to form biomaterials including hydrogels. However,  
materials made in contact with sensitive biological materials, including cells or tissue, or  
intended for implantation or other contact with the body are subject to special constraints.  
45                25                In the text below, the situation of formation of a biomaterial hydrogel is considered,  
because of the special usefulness of biomaterial hydrogels. The approaches are generally  
the same for non-hydrogel materials, and the approaches described below should be  
understood to be generalizable. The network formation process must proceed in

5 relatively mild conditions with regard to solvent system, temperature and exothermicity, and pH. Precursors and products (of gelation reactions and of gel degradation) should be  
10 substantially non-toxic, with toxic being defined as inducing a medically unacceptable tissue reaction in a medically relevant context.

5 The approaches described herein using conjugate addition reactions with thiols to  
15 form biomaterials simplify the process of gel formation (no light or temperature changes are required) and add greatly to usefulness by being self selective (in general not reacting with proteins that are incorporated as biopharmaceuticals or are present on cell and tissue surfaces). Furthermore, because of the self-selectivity, it is possible to much more  
20 0 flexibly incorporate peptides into the biomaterial itself, for example, as protease cleavage sites (to provide degradation), cell adhesion sites, or heparin or growth factor binding sites.

25 There exist numerous applications in medicine where *in situ* cross-linking is  
30 desired but where hydrogels are not desired. These can include applications where a high  
35 strength material is desired. High strength hydrogels can be formed, but in general non-  
40 hydrogel materials can be stronger. These materials can be obtained either by cross-  
45 0 linking, using the scheme of this invention, in the presence of a low toxicity non-aqueous solvent, such as ethylacetate, a low molecular weight PEG, or from cross-linking neat without any solvent, from liquid precursors. For example, a hydrolytically degradable strong material could be formed from a low molecular weight poly(epsilon caprolactone) diacrylate (which is a liquid) as a hydrophobic component. Such materials can be either linear polymeric biomaterials or cross-linked polymeric biomaterials. This may also be achieved by using precursors that exhibit sensitivity to pH, temperature or other stimuli which can be manipulated. In this manner, the precursors will undergo a transition from soluble to insoluble after/during application. This will allow easy handling but allow the improvement of mechanical properties by using non-hydrogel (low water content)

5

materials.

10

It is possible to prepare structural materials with significant mechanical strength *in situ* using conjugate addition with thiols. If high cross-linking density and/or low water content are used, gels or materials with high mechanical strength can be obtained.

15

5 Multifunctional, low molecular weight precursors with limited or no water solubility can be combined to form strong cross-linked materials. These insoluble or partially soluble precursors can be combined, if they are liquid, by dispersing in aqueous with or without the assistance of emulsifiers. This emulsifier may be nontoxic or minimally toxic surfactants, such as sorbitan monooleate, or it may be a protein such as albumin.

20

0 Inorganic particles can also assist in the water dispersion of such precursors. The mechanical properties of the structural gels obtained by this method can be modified by the addition of inorganic particles, hydrophilic or hydrophobic additives, or by the use of 25 multimodal molecular weight precursors (precursors with multiple discreet molecular weights). The addition of inorganic particles increases the stiffness of the cross-linked material and can increase the ultimate strength and the fatigue resistance of the material. 15 The addition of hydrophilic additives can be used to increase the water content and to soften the materials. Depending of the chemical composition, the addition of hydrophobic additives can be used to reduce the water content of the gel and can be used to harden and/or strengthen the materials. This may also be used to enhance elasticity.

35

20 The density of cross-linking can be affected by the molecular weight of the original precursors. Increase of the molecular weight can reduce the cross-linking density and be used to modulate the mechanical properties of the final biomaterial.

40

## II. Cross-linking chemistry

45 As used herein, the symbol P is employed to indicate the part of a molecule that

25 lies between two reactive sites (telechelic sense) or is grafted with reactive sites (grafted

50

5 sense). With telechelic polymers, P will lie between two strong nucleophiles, such as two  
10 thiols, or between two conjugated unsaturations (e.g., in the case of a PEG diacrylate or a  
PEG dithiol, P is a PEG chain). In the case of a PEG triquinone or trithiol, P is a three-  
15 armed, branched PEG. In the case of a block copolymeric acrylate-(lactic acid oligomer)-  
PEG-(lactic acid oligomer)-acrylate or quinone-(lactic acid oligomer)-PEG-(lactic acid  
oligomer)-quinone, P is the (lactic acid oligomer)-PEG-(lactic acid oligomer) block  
20 copolymer. In the case of a graft copolymer (e.g., polylysine-graft-(PEG acrylate) or  
polylysine-graft-(PEG quinone) or polylysine-graft-(PEG thiol)), in which the geometry  
25 of the polymer is as a bottle-brush with the tips of the bristles containing either the  
conjugated unsaturations or the strong nucleophile, P is polylysine-graft-(PEG). P can  
30 also present the reactive groups in the side chains: every polymer bearing alcohols or  
amines in the side chains is easily acrylated, for example, in order to present multiple  
conjugated unsaturated groups for the conjugate addition reaction. Polymers containing  
35 carboxylic acids can be derivatized to expose, for example, quinones groups. P need not  
40 be polymeric in the usual sense of the word. For example, in the case of ethylene glycol  
diacrylate or diquinone, P is the ethylene unit. In the case of a peptide, for example,  
45 YCXXXXXXCY (SEQ ID NO: 1) or CXXXXXXC (SEQ ID NO: 2), where C is the  
amino acid cysteine and X and Y are other amino acids, such that XXXXXX (SEQ ID  
NO: 3) could be a sequence that functions as a substrate for a protease such as  
collagenase, P is XXXXXX. The length of XXXXXX or the number of X (e.g., X<sub>n</sub>) can  
50 be any length or number (n=0). In the case of 1,2 ethylene dithiol, P is the ethylene.  
Thus, P is the molecular part of the precursor component that is interposed between the  
two, or more, reactive groups on the precursor component. It is often convenient when  
this is polymeric or oligomeric, but neither case is necessary; small molecules are also of  
interest and use. Examples of small molecules which may be used include, but are not  
55 limited to reduced sugars or analogous compounds, such as mannitol, erythritol,

5 pentaeritrol, trimethylol propane, and glycerol, which can be totally or partially acrylated, or reacted with beta-mercaptopropionic acid to give thiols. Di- or multicarboxylic acids, such as EDTA, citric acid, succinic acid, and sebamic acid, can be converted to quinones.

10

#### Definition of Michael-type reaction

15 The 1,4 addition reaction of a nucleophile on a conjugate unsaturated system is referred to as a Michael-type reaction. The addition mechanism could be purely polar, or proceed through a radical-like intermediate state(s); Lewis acids or appropriately designed hydrogen bonding species can act as catalysts. The term conjugation can refer 20 both to alternation of carbon-carbon, carbon-heteroatom or heteroatom-heteroatom multiple bonds with single bonds, or to the linking of a functional group to a 25 macromolecule, such as a synthetic polymer or a protein. Double bonds spaced by a CH or CH<sub>2</sub> unit are referred to as homoconjugated double bonds.

30 Michael-type addition to conjugated unsaturated groups can take place in good to quantitative yields at room or body temperature and in mild conditions with a wide 35 variety of nucleophiles (Pathak, *supra*; Mathur et al., *Journal of Macromolecular Science-Reviews In Macromolecular Chemistry and Physics*, C36:405-430, 1996; Moghaddam et al., *Journal of Polymer Science: Part A: Polymer Chemistry* 31:1589-1597, 1993; and Zhoa, *supra*). Conjugated unsaturated groups, such as vinyl sulfones (Pathak, *supra*) or acrylamides (Mathur, *supra*), have been used to link PEG or polysaccharides to proteins 40 through Michael-type reactions with amino- or mercapto-groups.

45 The innovation of the present invention consists in the fact that a biocompatible 50 gelling of biomaterial precursors to form a biomaterial is rapidly provided by the use of a wide variety of conjugated unsaturated compounds reacting with thiols in a self-selective manner. The gel formation kinetics and the mechanical and transport properties of the product are tailored to the needs of the application. The possibility to incorporate

5 proteinaceous or peptidyl material is envisaged mainly in order to obtain a proteolytically degradable material or for specific recognition processes within it, but primarily by reaction with intentionally incorporated cysteine residues; pure protein PEGylation is  
10 outside of the scope of the present invention, since it does not result in a biomaterial.

5 Groups such as maleimides and vinylsulfones are useful in these cross-linking reactions, but these tend to be less useful than others because of a relatively high rate of reactivity  
15 with amines relative to other nucleophiles such as compared to some of the conjugated systems described below. As such, the use of conjugated unsaturations that display lower overall reactivity, including quinones and acrylates.

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0 **Conjugated unsaturated structures**

25 It is possible to perform Michael-type addition reactions on a wide variety of conjugated unsaturated compounds. In the structures shown below, an oligomeric or polymeric structure is indicated as P. Various possibilities for the specific identity of P are discussed further herein. P can be coupled to reactive conjugated unsaturated groups  
30 15 in structures such as those numbered 1 to 20.

In the drawings, P is intended as terminated with a CH<sub>2</sub>, CH or C group.

35 Reactive double bonds can be conjugated to one or more carbonyl groups in a linear ketone, ester or amide structure (1, 2) or to two in a ring system, as in a maleic or paraquinoid derivative (3, 4, 5, 6, 7, 8, 9, 10). In the latter case the ring can be fused to give a naphthoquinone (6, 7, 10) or a 4,7-benzimidazoledione (8) (Pathak, *supra*) and the carbonyl groups can be converted to an oxime (9, 10). The double bond can be  
40 conjugated to a heteroatom-heteroatom double bond, such as a sulfone (11), a sulfoxide (12), a sulfonate or a sulfonamide (13), a phosphonate or phosphonamide (14). Finally,  
45 the double bond can be conjugated to an electron-poor aromatic system, such as a 4-vinylpyridinium ion (15). Triple bonds can be used in conjugation with carbonyl or  
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5 heteroatom-based multiple bonds (16, 17, 18, 19, 20).

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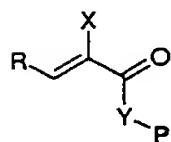
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## Chemical Structures:

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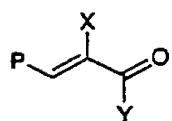


$X = H, CH_3$        $R = H$        $Y = NH, O, 1,4-Ph$   
 $CN, COOW$        $R = H, W, Ph$        $Y = NH, O, 1,4-Ph$   
 $W = C1-C5$  linear aliphatic chain

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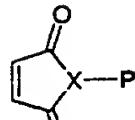


$X = CN, COOW$        $Y = OW, Ph$   
 $W = C1-C5$  linear aliphatic chain

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$X = N, CH$

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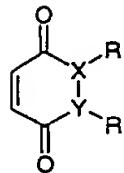
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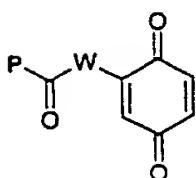
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**A**  $X = \text{CH}$   $Y = \text{CH}$   $R = \text{H, W-P}$  ( $W = \text{NH, O, nihil}$ )

**B**  $X = \text{N}$   $Y = \text{N}$   $R = \text{H, P}$

**C**  $X-Y = \text{C=C}$   $R = \text{W-P}$  ( $W = \text{NH, O, nihil}$ )

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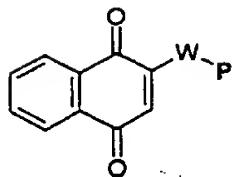


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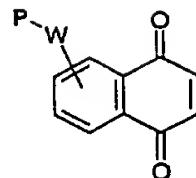
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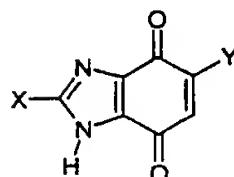
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$X, Y = \text{H, P}$   
 $\text{P, P}$   
 $\text{P, H}$   
 $\text{P, aliphatic chain}$

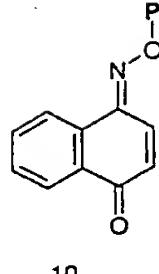
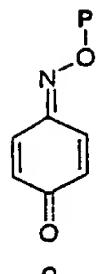
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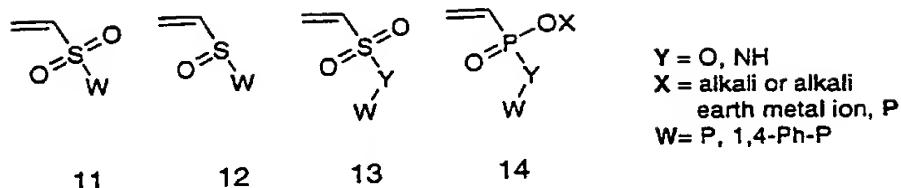
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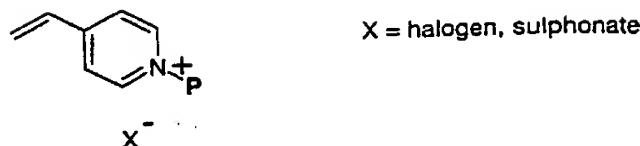
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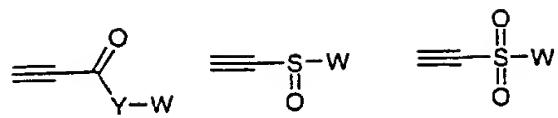
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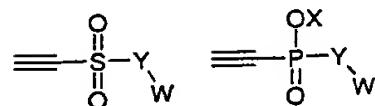
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$Y = O, NH$   
 $X = \text{alkali or alkali earth metal ion, P}$   
 $W = P, 1,4-Ph-$

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5 Structures such as 1 and 2 are based on the conjugation of a carbon-carbon double bond with one or two electron-withdrawing groups. One of them is always a carbonyl, 10 increasing the reactivity passing from an amide, to an ester, and then to a phenone structure. The nucleophilic addition is easier upon decreasing the steric hindrance, or 15 increasing the electron-withdrawing power in the alpha-position:  $\text{CH}_3 < \text{H} < \text{COOW} < \text{CN}$ .

The higher reactivity obtained by using the last two structures can be modulated by varying the bulkiness of the substituents in the beta-position, where the nucleophilic attack takes place; the reactivity decreases in the order  $P < W < Ph < H$ . So, the position of  $P$  too can be used to tune the reactivity towards nucleophiles. This family includes some compounds for which a great deal is known about their toxicology and use in medicine. For example, water-soluble polymers with acrylates and methacrylates on their termini are polymerized (by free radical mechanisms) *in vivo*, in hydrogel sealants and bone cements, respectively. Thus, acrylate and methacrylate-containing polymers have been seen in the body before in clinical products, but for use with a dramatically different chemical reaction scheme.

30 The structures 3-10 exhibit very high reactivity towards nucleophiles, due both to the *cis* configuration of the double bond and the presence of two electron-withdrawing groups.

45 25 P can be placed in positions where it does not reduce the reactivity of the unsaturated group, that is in the opposite part of the ring (3, 5), on another ring (7, 8) or O-linked through a para-quinone mono-oxime (9, 10). P can be also linked to the

5 reactive double bond (6, 8), if the nucleophilic addition rate is to be decreased.

The activation of double bonds to nucleophilic addition can be obtained also by using heteroatoms-based electron-withdrawing groups. In fact, heteroatom-containing analogous of ketones (11, 12), esters and amides (13, 14) provide a similar electronic behavior. Structures 13 and 14 can also be used as easily hydrolyzable groups. that can promote a quick gel degradation. The reactivity towards nucleophilic addition increases with electronegativity of the group, that is in the order 11>12>13>14, and is enhanced by the linkage with an aromatic ring. A strong activation of double bonds can also be obtained, using electron-withdrawing groups based on aromatic rings. Any aromatic structure containing a pyridinium-like cation (e.g., derivatives of quinoline, imidazole, pyrazine, pyrimidine, pyridazine, and similar  $sp_2$ -nitrogen containing compounds) strongly polarizes the double bond and makes possible quick Michael-type additions.

25 Carbon-carbon triple bonds, conjugated with carbon- or heteroatom-based electron-withdrawing groups, can easily react with sulphur nucleophiles, to give products 30 from simple and double addition. The reactivity is influenced by the substituents, as for 35 the double bond-containing analogous compounds.

The formation of ordered aggregates (liposomes, micelles) or the simple phase separation in water environment increase the local concentration of unsaturated groups and so the reaction rate. In this case, the latter depends also on the partition coefficient of 40 the nucleophiles, which increases for molecules with enhanced lipophilic character.

### Nucleophiles

45 The nucleophiles that are useful are those that are reactive towards conjugated 50 unsaturated groups via addition reactions. The reactivity of the nucleophile depends on the identity of the unsaturated group, as discussed in more detail elsewhere herein, but the 55 identity of the unsaturated group is first limited by its reaction with water at physiologic

5 pH. Thus, the useful nucleophiles will generally be more nucleophilic than H<sub>2</sub>O at physiologic pH. Preferred nucleophiles will be ones that are commonly found in  
10 biological systems, for reasons of toxicology, but ones that are not commonly found free in biological systems outside of cells. Thus, while there may be examples in which  
15 amines can be employed as effective nucleophiles, the most preferred nucleophile is the thiol.

15 Thiols are present in biological systems outside of cells in paired form, as disulfide linkages. When the highest degree of self-selectivity is desired (e.g., when a therapeutic protein is incorporated, when the gelation reaction is conducted in the presence of tissue  
20 and chemical modification of that tissue is not desirable), then a thiol will represent the  
25 strong nucleophile of choice.

10 There are other situations, however, when the highest level of self-selectivity may  
25 not be necessary. This would include situations when no therapeutic protein is incorporated and when the gelation reaction is conducted *in situ*, but when chemical  
15 bonding to the tissue is either desirable or is not undesirable. In these cases, an amine  
30 may serve as an adequate nucleophile. Here, particular attention is paid to the pH, in that the deprotonated amine is a much stronger nucleophile than the protonated amine. Thus, for example, the alpha amine on a typical amino acid (pK as low as 8.8 for asparagine,  
35 average of 9.0 for all 20 common amino acids except proline) has a much lower pK than the side chain epsilon amine of lysine (pK 10.80). As such, if particular attention is paid  
40 to the pK of an amine used as the strong nucleophile, substantial self-selectivity can be obtained. Proteins have only one alpha amine (on the N-terminus). By selection of an  
45 amine with a low pK, and then formulation of the final precursor solution such that the pH were near that pK, one could favor reaction of the unsaturation provided with the amine provided, rather than other amines present in the system. In cases where no self-selectivity is desired, one need pay less attention to the pK of the amine used as the

5 nucleophile, however to obtain reaction rates that are acceptably fast one must adjust the pH of the final precursor solution such that an adequate number of these amines are deprotonated.

10 In summary, thiols are the preferred strong nucleophile of this invention, for 5 reasons of pH in the precursor solution and maximal self-selectivity, but there are situations in which amines will also serve as useful strong nucleophiles; the usefulness of 15 particular nucleophiles depends upon the situation envisioned and the amount of self-selectivity desired.

20 The concept of nucleophilic group is extended herein, so that the term is 10 sometimes used to include not only the functional groups themselves (e.g., thiol or amine), but also molecules which contain the functional group (e.g., cysteine or cystyl residue, or lysine or lysyl residue).

25 The nucleophilic groups may be contained in molecules with great flexibility in 15 overall structure. For example, a difunctional nucleophile could be presented in the form of Nuc-P-Nuc, where P is used in the sense described herein and Nuc refers to the nucleophile. Likewise, a branched polymer P could be derivatized with a number of 30 nucleophiles to create P-(Nuc)<sub>i</sub>, where i=2 would be useful. Nuc needs not be displayed at the chain termini of P, for example, a repeating structure could be envisioned: (P- 35 Nuc)<sub>i</sub>, where i=2 would be useful. Clearly, not all of the P or the Nuc in such a structure 20 need to be identical. It is only necessary that one nucleophilic precursor contain greater than or equal to two such Nuc groups.

40 Likewise, similar structures of P and the conjugated unsaturated groups described 45 in detail above may be formed. It is only necessary that one conjugated unsaturated precursor contain greater than or equal to two such conjugated unsaturated groups.

45 It should be noted and understood, that it is not necessary that both precursor 50 components, for example, both the nucleophilic precursor component and the conjugated

5 unsaturated precursor component, actually be polymeric in the usual sense of the word. It  
is only the functionality that matters. In practice, it is convenient if at least one  
10 component is polymeric in the usual sense of the word, but this is not absolutely  
necessary. For example, useful materials result from the reaction of a PEG triacrylate  
5 with dicysteine, and likewise, useful materials result from the reaction of a PEG trithiol  
and a low molecular weight diacrylate. Finally, useful materials for some applications  
15 also result from reaction of a dicysteine and a low molecular diacrylate.

20 In practice, it is convenient and useful when one or more precursor component is  
polymeric in the usual sense of the word. In these cases, P can be synthetic hydrophilic  
10 polymers, synthetic hydrophobic polymeric liquids, synthetic hydrophobic polymers that  
are soluble in solvents of acceptable toxicity or biological influence for the envisioned  
application, biosynthetic proteins or peptides, naturally occurring proteins or processed  
25 naturally occurring proteins, or polysaccharides.

#### Hydrophilic polymers

30 In preferred embodiments, the synthetic polymer P can be poly(ethylene glycol),  
15 poly(ethylene oxide), poly(vinyl alcohol), poly(ethylene-co-vinyl alcohol), poly(acrylic  
acid), poly(ethylene-co-acrylic acid), poly(ethyloxazoline), poly(vinyl pyrrolidone),  
35 poly(ethylene-co-vinyl pyrrolidone), poly(maleic acid), poly(ethylene-co-maleic acid),  
poly(acrylamide), or poly(ethylene oxide)-co-poly(propylene oxide) block copolymers  
20 This is not an exhaustive list as other hydrophilic polymers could also be used.

40 P can also be copolymers, block copolymers, graft copolymers, or random  
45 copolymers. Blocks, which are polymerized on the ends of the hydrophilic polymers, can  
be composed of, for example, lactic acid, glycolic acid, epsilon-caprolactone, lactic-co-  
glycolic acid oligomers, trimethylene carbonate, anhydrides, and amino acids, for  
50 25 example, to confer degradability by hydrolytic or enzymatic means. This list is not

5 exhaustive; other oligomers may also be used for block copolymers.

Random copolymers can be based on vinyl alcohol, such as poly(N-vinylpyrrolidone-co-vinyl alcohol) or poly(ethylene-co-vinyl alcohol), with different 10 compositions, can be derivatized with conjugated unsaturated groups, such as acrylates, 15 benzoquinones, naphthoquinones and others. The vinyl alcohol copolymers can be functionalized with  $(\text{CH}_2)_n \text{COOH}$  groups by reaction with  $\omega$ -bromo-carboxylic acids. 20 The resulting polymers or acrylic or methacrylic acid copolymers can be used for the attachment of quinone groups. Comonomer composition and extent of functionalization do not influence dramatically the reaction rates, unless they determine solubility or phase transition. On the other hand, they determine the final mechanical properties.

It should be noted that one component P could even be a solid, such as a colloidal particle with either nucleophiles or sites of conjugated unsaturation upon it.

#### 25 **Proteins and biosynthetic proteins**

P may be a protein. The protein can be a naturally occurring or recombinant 30 protein. In general terms, the recombinant proteins are any length amino acid material generated through recombinant DNA technology. Examples of components these can have include peptide sequences which encode degradation sites for proteases, peptide 35 sequences for other biological signals and non biointeractive sequences.

Any naturally occurring protein can also be P. More specifically, a naturally 20 occurring protein is composed of several Ps which are separated by nucleophiles. For 40 example, serum albumin, a 584 amino acid protein, contains 5.7 % cysteine, 9.9 % lysine and 3.1 % tyrosine. The amino acid sequences which occur between, for example, cysteine, tyrosine and lysine make up distinct Ps. While albumin in its natural state may 45 be less than useful for the purposes of cross-linking by conjugate addition reactions between conjugated unsaturations and thiols on the protein, albumin can be readily

5 processed by reduction so as to form a poly(amino acid) with some or all of its cysteine residues free or it can be chemically derivatized to introduce multiple thiol groups.

10 **Peptides**

In some instances, P may be a peptide or a polypeptide, where the nucleophile is  
5 the amino acid cysteine, resulting in peptides of structures similar to H<sub>2</sub>N-  
15 CXXXXXCXXXXC-COOH (SEQ ID NO: 4) or H<sub>2</sub>N-CXXXXXC-COOH (SEQ ID  
NO: 5), where C is the one-letter representation of cysteine, and X represents any amino  
20 acid except cysteine, in one embodiment, or Acetyl-NH-YXXXXXYXXXXY-COOH  
(SEQ ID NO: 6) where Y is the one-letter representation of tyrosine, and X represents any  
10 amino acid except cysteine or tyrosine, in another embodiment. The length of NXXXX  
(SEQ ID NO: 7) or the number of X (e.g., Xn) can be any length or number (n=0). It is  
25 particularly useful when the sequences in the domains shown as XXXXX above are  
substrates for enzymes that are involved in cell migration (e.g., as substrates for enzymes  
such as collagenase, plasmin or elastase), although the domains need not be limited to  
30 these. One such particularly useful sequence, as a substrate for the enzyme plasmin, is  
15 described in the examples. A variety of such peptides may be learned from a study of the  
literature of these enzymes. For example, such a study shows substrate sites for the  
35 important protease plasmin (Table 1; SEQ ID NOS: 8-24):

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Table 1. Plasmin Substrate Sites found in Fibrin (ogen) (Fg)\*\*

Arginyl Sites								
	P3	P2	P1	P1'	P2'	P3'	Fg chain and site	Reference
10	G	P	R+	V*	V*	E-	$\alpha$ 19	3
	N	N	R+	D-	N	T	$\alpha$ 104	2, 4
	Y	N	R+	V*	S	E-	$\alpha$ 110	2
	Q	M*	R+	M*	E-	L*	$\alpha$ 239	1
	G	F*	R+	H+	R+	H+	$\alpha$ 491	5
	G	Y	R+	A*	R+	P	$\beta$ 42	2, 3
Lysyl Sites								
15	Y	Q	K+	N	N	K+	$\alpha$ 78	3
	L*	I*	K+	M*	K+	P	$\alpha$ 206	1, 2
	N	F*	K+	S	Q	L*	$\alpha$ 219	1
	E-	W	K+	A*	L*	T	$\alpha$ 230	1
	S	Y	K+	M*	A*	D	$\alpha$ 583	5
	T	Q	K+	K+	V*	E-	$\beta$ 53	3
	R+	Q	K+	Q	V*	K+	$\beta$ 130	2
	Q	V*	K+	D-	N	E-	$\beta$ 133	4
	L*	I*	K+	A*	I*	Q	$\gamma$ 62	4
	T	L*	K+	S	R+	K+	$\gamma$ 85	2, 3
25	S	R+	K+	M*	L*	E-	$\gamma$ 88	2

Ref. 1: Takagi T. and R.F. Doolittle, Biochemistry 14: 5149-5156, 1975; Ref. 2: Hantgan R.R., et al., Hemostasis and Thrombosis: Basic Principles and Clinical Practice, Third Edition. Edited by R.W. Colman et al. J.B. Lippincott Company: Philadelphia, 1994; Ref. 3: Takagi T. and R.F. Doolittle, *supra*; Ref. 4: Nomura S. et al., Electrophoresis 14: 1318-1321 1993.; Ref. 5: Stünder L. et al., Biochemical and Biophysical Research Communications 215: 896-902 (1995).

\* Indicates a hydrophobic amino acid; +/- Indicates a charged side chain, either cationic (+) or anionic (-).  
 \*\* Single letter amino acid code: A, alanine; C, cysteine; D, aspartic acid; E, glutamic acid; F, phenylalanine; G, glycine; H, histidine; I, isoleucine; K, lysine; M, methionine; N, asparagine; P, proline; Q, glutamine; R, arginine; S, serine; T, threonine; V, valine; W, tryptophan; Y, tyrosine.

35 Given that plasmin is an important enzyme in cell migration and tissue/clot

remodeling, these substrates or parts of these substrates represent useful sequences within the sites indicated above as XXXXX in P.

40 Likewise, collagenase is an important enzyme in cell migration and tissue

35 remodeling. A study of the literature on collagenase indicates also a variety of substrate

45 sites, which represent useful identities for XXXXX in P (Table 2; SEQ ID NOS: 25-31):

5

Table 2. Collagenase Substrate Sites found in Collagen

P3	P2	P1	P1'	P2'	P3'	Collagen type and site	Ref.
P	Q	G	I*	A*	G	calf & chick $\alpha$ 1 (I); human cartilage $\alpha$ 1 (II)	6
P	Q	G	L*	L*	G	calf $\alpha$ 2 (I)	6
P	Q	G	I*	L*	G	chick $\alpha$ 2 (I)	6
P	Q	G	L*	A*	G	chick $\alpha$ 2 (I); human skin $\alpha$ 1 (III)	6
P	L*	G	I*	A*	G	human liver $\alpha$ 1 (III)	6
P	L*	G	L*	W	A*	human	7
P	L*	G	L*	A*	G	human	8

10 5 Ref. 6: Netzel-Arnett S. et al., The Journal of Biological Chemistry 266: 6747-6755, 1991; Ref. 7: Upadhye S. and V.S. Ananthanarayanan, Biochemical and Biophysical Research Communications 215: 474-482, 1995; Ref. 8: Liko Z., et al., Biochem Biophys Res Commun 227: 351-35, 1996.

15 10 The use of enzyme degradation sites within P, either in the nucleophile precursor component (most easy, since cysteine in the sequence may be used to provide a thiol as a nucleophile) or as the conjugated unsaturated precursor component, is that the rate of biomaterial resorption or remodeling may be linked to the rate and progress of healing, for example, as indicated by cell infiltration.

20 15 It is particularly powerful to note that the rate of biomaterial resorption may be modulated by adjustments to the oligopeptide sequence so as to alter the  $K_m$  and  $k_{cat}$  of the 25 20 substrate site. For example, a study of the literature on the enzymology of collagenase substrate sites shows that it is possible to adjust the rate of degradation of substrates by 30 25 the design of the sequence of the substrates (Table 3; SEQ ID NOS: 32-38):

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Table 3. Design of Collagenase (Matrix metalloproteinase 1)-Sensitive Peptide Sequences

No.	Sequence	$k_{cat} / K_m$ relative to that of PQGIAG
1	GPQCIAGQ	100% (normal)
2	GPVGIAGQ	30% (slow)
3	GPOGVAGQ	9% (slower)
4	GPQGRAGQ	<5% (very slow)
5	GPOGIASQ	130% (fast)
6	GPQGIFGQ	>300% (faster)
7	GPOGIWGQ	>700% (very fast)

Netzel-Arnett S. et al. The Journal of Biological Chemistry 266: 6747-6755. 1991

## Accelerators

Poorly reacting nucleophiles are referred to as having a pseudo-first order half-life of more than approximately 15 minutes (with the conjugated unsaturated group present in excess; slower reactions might be useful in some medical circumstances), at a pH generally defined as pH more than 5 and less than 9, and at a temperature greater than 25°C and less than 40°C. Radical initiators are referred to as organic or water-soluble molecules undergoing spontaneous, thermally- or photochemically-initiated homolytic scission of carbon-heteroatom or heteroatom-heteroatom bonds, to produce carbon- or heteroatom-based radicals. The use of such radical initiators as accelerators, while not preferred, should be understood to be superior to polymerization, in that the concentration of free radicals employed can be much lower. The addition rate of poorly reacting nucleophiles to conjugated unsaturated groups can be enhanced by the presence of accelerating substances; these can be radical initiators, photosensitizers; (alone or in combination with radical initiators; Pathak, *supra*), low molecular weight Lewis acids (Pathak, *supra*), solid-state catalysts characterized by Lewis acidity or by the presence of quaternary ammonium ions, such as an Amberlyst resin (Pathak, *supra*) or a montmorillonite clay (Pathak, *supra*), or hydrogen bonding receptors, based on N,N-disubstituted urea or peptidic structures (Pathak, *supra*). In the last case, the acceleration mechanism is based on the stabilization by hydrogen bonding of the enolate-like

5 transition state, following the attack of the nucleophile on the conjugated olefin; tailor-made antibodies can be used on this purpose (Pathak, *supra*).

10 In a typical experiment a concentrated (typically greater than or equal to 10% w/w, but simply at a sufficiently high concentration to achieve the desired behavior) solution of a P derivative containing a number of conjugated unsaturated groups greater than one per P residue is quickly mixed with a concentrated (>10%, but simply at a sufficiently high concentration to achieve the desired behavior) solution of a thiol- or suitable amino-containing compound (especially thiols, in applications where the highest degree of self-selectivity may not be required), with a number of nucleophilic species greater than two.

15 5 An accelerating species in catalytic quantities (<1-2% w/w) can be introduced during the mixing stage. Higher temperatures (up to 60°C) can be used for a short time after the mixing to activate the cross-linking reaction. For situations when the material is to be injected into the body and then allowed to react *in situ* to form the final biomaterial, injection temperatures up to approximately 50°C may be acceptable.

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30 15 **III. Polymer network formation**

35 20 **Functionality**

40 25 Utilizing terminology from polymer science, polymers can be made by reaction of monomers with a functionality of 2. Cross-linked networks of polymers can be made if some or all of the monomers have a functionality greater than 2. Molecules are described herein having a functionality greater than or equal to 2 (monomers or macromers), which can be reacted together to form a cross-linked network, where functionality is defined in terms of addition reactions. As used herein, polymerization refers to the reaction of monomers or macromers with functionality of 2, and cross-linking refers to the reaction of monomers or macromers some or all of which have a functionality greater than 2. The term monomers here is not limited to small molecules, but can also refer to polymers and

5 biopolymers.

The monomers described are of two classes, which when reacted together form a linear or cross-linked biomaterial. Both classes of monomers are required to be mixed together for cross-linking to occur (different approaches for mixing are described 10 immediately below). One class of monomer contains 2 or more conjugated unsaturated groups (thus, a functionality of 2 or more), preferably conjugated. The other class of monomer contains 2 or more nucleophiles (thus, a functionality of 2 or more), preferably 15 nucleophiles that are stronger nucleophiles than others present as other components of the system, for example, thiols when compared with amines that may be present as desirably 20 non-reactive components of the system.

When water-soluble precursor monomers are mixed together (referred to as the final precursor solution), linear or cross-linked gels or networks are formed, and such 25 reactions can proceed in water at physiologic or nearly physiologic salt concentrations and pH. It is not necessary that the monomers be entirely soluble in water, and indeed it 30 is sometimes beneficial that they not be soluble in water. In such cases, gels may not be obtained as the final material, but rather more hydrophobic, less water-swelling materials. These can be particularly useful in the delivery of hydrophobic drugs and in the formation 35 of materials with substantial structural strength. It is only necessary that the two components be either soluble in each other or at least finely dispersible in each other, perhaps in the presence of an emulsifying agent. In this manner, the two components can 40 come close enough to each other to react to form the linear or cross-linked material.

It is also possible to work with solutions of monomers formed in a solution other 45 than water. For example, the use of N-methyl pyrrolidone (NMP) as a solvent in injectable biomaterial systems is known, and as such it is possible, when one wishes to 50 work with the precursor components in solution, but with precursor components that are not freely soluble in water, to employ certain organic solvents that are acceptable for use

5 with the sensitive biological material under consideration.

When a drug is being incorporated in the laboratory or in a manufacturing line, then there is great flexibility in the selection of this organic solvent, since at least most of it will be removed before the implant is provided to the subject. When a material is being formed on the skin, then a great deal of flexibility also exists, due to the low skin toxicity of many organic solvents, including NMP, acetone, ethanol, isopropanol and ethyl acetate. When a material is being formed in the body, then the list of acceptable solvents is considerably smaller and is dominated by toxicity concerns. In such cases, NMP is a particularly favorable organic solvent. The toxicity of the solvent system can also be modulated by employing a mixed solvent system, comprising the organic solvent and water, to lower the overall concentration of organic solvent but to still provide good solubility or dispersability in the mixed solvent system.

Mixing to form the final precursor solution can occur by several means. Most straightforwardly, one solution contains the nucleophilic precursor component and one solution contains the conjugated unsaturated precursor component. These two components are formulated in solvent and buffer systems such that the pH and concentrations obtained after mixing are appropriate for the chemical reaction to proceed. Such mixing could occur in a static mixer at the function of two syringes, for example.

Other mixing approaches can be imagined. For example, mixing can occur between fine particles of each of the two precursor solutions in an air spray. One solution could be prepared from both precursor components, but at a pH, for example, such that the reaction did not proceed or proceeded only slowly. After placement of the pre-mixed precursor solution, pH could be adjusted (e.g., by change of temperature, or mixing with acid or base, or by a chemical reaction to create an acid or base, or diffusion of an acid or base), to result in a final condition in the final precursor solution that was appropriate for the chemical reaction to proceed. Another approach can be to prepare the final precursor

5 solution at a temperature such that the reaction did not proceed or proceeded only very  
slowly, either related to the activation energy of the reaction or to a buffer with  
10 temperature-sensitive characteristics or both. Upon warming or cooling (most usefully  
warming) to the final application temperature (e.g., to body temperature after injection),  
the conditions in the final precursor solution would be appropriate for the chemical  
reaction to proceed.

15

#### Medical applications

20 Since the biomaterials are useful as medical implants or devices, or for drug  
delivery in humans, the system of molecules used in the precursor solution must meet  
10 certain criteria. These include:

25 1. The rate of the Michael-type reaction must occur over a clinically relevant  
period of time at a clinically relevant temperature and pH. Generally, gelation over a  
period of less than approximately 15 minutes, at a pH generally more than 7 and less than  
9 and at a temperature greater than 25 and less than 40°C is desirable.

30 2. The reaction must be sufficiently self-selective, with self-selectivity  
considerations including the following. For the formation of gels in the presence of drugs  
containing amines or where reaction with cell and tissue components is undesirable, the  
35 conjugated unsaturation must react very slowly with amines at the pH of application of  
the final precursor solution. Preferably, a ratio of reactivity of the conjugated  
unsaturation for the nucleophile of intentional reactivity to the amine, in this case the  
40 nucleophile of unintentional or undesirable reactivity, in excess of ten and more  
preferably even higher is desired. Typically, the approach of Michael-type addition  
between conjugated unsaturations and thiols will not be useful for drugs that contain  
themselves conjugate unsaturations or thiols. Exceptions include cases when the  
45 reactivity of the group on the drug is considerably less than the reactivity on the

5 corresponding group in the biomaterial precursor and cases when such reactions are not detrimental, for example, when grafting to the biomaterial network are not detrimental.

10 3. The reactants must be stable in water, when the precursor solutions are prepared in water. Stable is defined as reacting slowly, with slowly defined as sufficiently slow to 15 allow the reaction between the two components to proceed and still result in the formation of the desired biomaterial.

20 4. The addition reaction in the final precursor solution must not be exothermic to 25 the point of causing tissue damage, drug breakdown or other detrimental results to the biological material under consideration. The temperature of the gelling solution generally 30 should not be raised above 60°C during gelation, and preferably even cooler maximum 35 reaction temperatures are desirable.

10 5. The components of the precursor solution must not be toxic at concentrations 15 which diffuse out of the final precursor solution as it is applied, with the word toxic being 20 defined as inducing a medically unacceptable tissue reaction in a medically relevant 25 context.

30 The criteria defined above in this section limit the identity of the molecules which 35 may be useful in the precursor solution, by limiting the identity of the chemical group 40 used for the cross-linking.

35 **Additional Biofunctionality**

20 One strong benefit of the use of the addition reactions described herein is that other 25 bioactive biofunctional groups can be incorporated into the biomaterial, for example, to 30 provide sites for binding of adhesion-promoting receptors on the cell surface or sites for 35 growth factor binding.

5

*Adhesion peptides*

10

A variety of adhesion-promoting peptides have been identified as being the active domains of adhesion-promoting proteins such as fibronectin, vitronectin, laminin, collagen, von Willebrand factor, osteonectin, and so forth. These peptides can be readily incorporated into the biomaterial, when they are designed with a strong nucleophile in the peptide chain, such as a cysteine. Such an example is demonstrated in the examples. A partial list of the peptides that would be of interest follows (Table 4; SEQ ID NOS: 39-49):

15

Table 4. Cell Binding Domain Sequences of Extracellular Matrix Proteins

20

Protein	Sequence	Role
Fibronectin	RGDS	Adhesion of most cells, via $\alpha_5\beta_1$
	LDV	Adhesion
	REDV	Adhesion
Vitronectin	RGDV	Adhesion of most cells, via $\alpha_v\beta_3$
	LRGDN	Adhesion
Laminin A	IKVAV	Neurite extension
	YIGSR	Adhesion of many cells, via 67 kD laminin receptor
	PDSGR	Adhesion
Laminin B2	RNIAEIIKDA	Neurite extension
	RGDT	Adhesion of most cells
Collagen I	DGEA	Adhesion of platelets, other cells
	RGD	Adhesion of most cells
	VTXG	Adhesion of platelets

After Yamada, Y., and Kleinman, H.K., *Curr. Opin. Cell Biol.* 4:819, 1992.

35

40

20

These peptides are potentially useful in controlling a variety of cellular reactions, such as cell attachment, migration and overgrowth upon a material surface (especially when the material is not degradable or is slowly degradable), cell migration through a material (especially when the material is more readily degradable by the incorporation of protease substrates within one of the two precursor components), and the induction of

45

50

55

5 particular cellular phenotypes (e.g., stimulating a macrophage to release beneficial growth factors but not to form foreign body giant cells). The peptides shown in Table 5 (SEQ ID NOS: 50-57) bind to cell surface receptors that are glycoproteins. There are other such 10 peptide sequences that bind to cell-surface heparan-sulfate and chondroitin-sulfate 5 containing proteoglycans, called as a family heparin-binding peptides. These can also be incorporated to confer cell adhesion via binding to such cell-surface components.

15 **Table 5. Proteoglycan Binding Domain Sequences of Extracellular Matrix Proteins**

Protein	Sequence
<u><math>\chi</math>BB<math>\chi</math>B<math>\chi</math>*</u>	Consensus sequence
<u>PR</u> RARV	fibronectin
<u>YE</u> KPGSPPREVVP <del>PR</del> PGV	fibronectin
<u>RPSLAKKQRFR</u> HNRKGYRSQRGHS <u>RGR</u>	vitronectin
<u>R</u> IQNLLK <u>T</u> ITNLRI <u>K</u> FVK	laminin
<u>K</u> ( $\beta$ A)FAKLA <u>A</u> R <u>L</u> Y <u>R</u> KA	antithrombin III
<u>KHK</u> GRDV <u>I</u> LKK <u>D</u> VR	neural cell adhesion molecule
<u>YKK</u> II <u>K</u> KKL	platelet factor 4

20 References for first five entries given in Massia, S.P., and Hubbell, J.A. *J. Biol. Chem.* 267:10133-10141, 1992; 10 Antithrombin III sequence from Tyler-Cross, R., et al., *Protein Sci.* 3: 620-627, 1994; Neural cell adhesion 25 molecule sequence from Kallapur, S.G., and Akeson, R.A., *J. Neurosci. Res.* 33: 538-548, 1992; Platelet factor 4 sequence from Zucker, M.B., and Katz, I.R., *Proc. Soc. Exp. Biol. Med.* 198, 693-702, 1991.

30 \* $\chi$  indicates a hydrophobic amino acid. Basic amino acids are shown underlined.

35 It should be noted that the practical method for incorporation of the adhesion peptide by the method of the present invention is much easier than the state of the art 40 (Pathak, *supra*). By such method as used in the prior art (taking the example of the formation of a Peptide-PEG-Acrylate), a heterobifunctional PEG must be synthesized, 45 with an activated ester on one end and an acrylate on the other end. This must be grafted to the peptide, and purified. This agent is then useful for either incorporation by the method of this invention or by polymerization of the acrylate end groups, for example in a PEG diacrylate as taught by Hubbell et al. By contrast, the present method of peptide

5 incorporation is much easier. The nucleophile (e.g., cysteine with a free thiol) containing  
10 peptide is simply mixed with the PEG diacrylate (or the multifunctional PEG conjugate  
unsaturated structure), is allowed to react for a short period of time, and then either the  
remainder of a different multinucleophile is added or the system is photopolymerized.

15 5 There is no synthesis of a heterobifunctional agent, and there is no purification after  
coupling. This is possible due to the self-selectivity of the system.

15 *Growth factor binding peptides*

20 .0 A second sort of biofunctionality that is useful in biomaterials are structures that  
bind growth factors. These can be used in the controlled delivery and release of growth  
25 factors. An excellent example can be found for heparin-binding growth factors, which  
include aFGF, bFGF, VEGF, TGF $\beta$ , and BMP. It is straightforward to incorporate  
peptides that bind heparin (as described above, and further below). Heparin can be added  
30 15 to this mixture, along with the growth factor. Because of the self-selectivity of the  
system, chemical reaction with the heparin and the growth factor would not be expected  
35 20 to occur. Thus, if a heparin-binding peptide containing a single free thiol at a cysteine  
residue were mixed with heparin and a heparin-binding growth factor, and if these  
components were mixed with, for example, a PEG-triacrylate, and if this were mixed with  
40 25 a protease substrate peptide with two thiols by the incorporation of two cysteine residues  
(one each on both sides of the substrate domain), the following biomimetic biomaterial  
would result: the biomaterial would be degradable by cell-associated proteases, and the  
45 25 growth factor would be bound into the biomaterial by non-covalent binding to heparin,  
which is in turn non-covalently bound to the heparin-binding peptide, which is, in turn,  
covalently bound to the hydrogel biomaterial. Alternatively, one could functionalize  
heparin directly so that it contains a single strong nucleophile and is directly chemically  
50 25 bound into the polymer network. Another related way to sequester heparin-binding

5 growth factors would be more directly through the use of covalently incorporated heparin  
mimics (e.g., peptides with negatively charged side chains) that directly bind growth  
factors.

10 There now follow particular examples that describe the preparation of  
5 compositions of the invention, and the methods of the invention. These examples are  
provided for the purpose of illustrating the invention, and should not be construed as  
15 limiting.

20 Example 1: Preparation of Basic Reagents

Acrylation of poly(ethylene glycol) diol

10 Polyethylene glycol, mol. wt. 8000 (50 g, 6.25 mmol, 12.5 mmol hydroxyl groups,  
Aldrich, Milwaukee, WI, USA) was added to toluene (500 ml) and dried by azeotropic  
25 distillation. The mixture was cooled to 0°C and 100 ml of anhydrous dichloromethane  
(Aldrich) was added. Triethylamine (2.61 ml, 18.75 mmol, 1.5 eq. based on hydroxy  
groups, Aldrich) was added, followed by dropwise addition of acryloyl chloride (2.03 ml,  
30 18.75 mmol, 1.5 eq., Aldrich). The reaction was kept under Ar overnight in the dark.  
The product was filtered and then recovered by precipitation in hexane with stirring. The  
product was redissolved in 75 ml of dichloromethane and precipitated again in hexane  
35 with stirring. The product was dried overnight under vacuum. The product was dissolved  
in 500 ml of water with 25 g NaCl, and the pH was adjusted to pH 6. The solution was  
extracted with dichloromethane (Aldrich) 3 times (the first extraction with  
40 dichloromethane should not be shaken vigorously to avoid the formation of an emulsion).  
The dichloromethane fractions are combined and added to stirring hexane. The product is  
recovered by filtration and dried under vacuum overnight. By 1H-NMR, 80% of alcohols  
45 on the polyethylene glycol are acrylated (product is referred to as polyethylene glycol  
25 diacrylate)

5 **Acrylation of poly(ethylene glycol) triol**

10 PEG-triacrylate (PEG-3A) is a three-armed PEG with glycerol core. Molecular weight notations (PEG-2500-3A, PEG-3500-3A) refer to total average molecular weight and not to the molecular weight of a single arm. The acrylation was carried out using  
15 exactly the same molar ratios of reactants as described for the PEG diol.

15 **Crotonylation and dimethylacrylation of poly(ethylene glycol) diol**

20 Crotonoyl PEG-8000 (PEG-8000-2C) (crotonyl, -OOC-CH=CHCH<sub>3</sub>) and  
25 dimethacryloyl PEG-8000 (PEG-8000-2DMA) (dimethylacryloyl, -OOC-CH=C(CH<sub>3</sub>)<sub>2</sub>)  
30 were synthesized simultaneously in side by side reactions. 27 g PEG-8000 (3.375 mmol,  
35 6.75 mmol hydroxyl groups; Aldrich) was dissolved in benzene and azeotropically  
40 distilled until the distillate appeared clear. The PEG-benzene solution was allowed to  
cool to room temperature. Then 100 ml of the solution was anhydrously transferred to a  
separate round bottom flask. Triethylamine (1.1 ml to the 100 ml sample and 1.7 ml to  
45 the larger (150 ml) sample, 3 equivalents based on hydroxyl groups; Aldrich) was added  
50 to each flask. Crotonoyl-Cl (1.2 ml, 3 equivalents based on hydroxyl groups; Fluka) was  
added dropwise to the 150 ml sample. Dimethacryloyl-Cl (0.9 ml, 3 equivalents based on  
55 hydroxyl groups; Fluka) was added dropwise to the 100 ml sample. The reactions were  
run 20 hours in the dark. The solutions were filtered through paper and precipitated in  
hexane. Both precipitates were dried in vacuo. The degrees of modification were  
20 determined by <sup>1</sup>H NMR to be 85% for the PEG-8000-2C and 89% for the PEG-8000-  
2DMA (by degree of esterification).

40 **Preparation of bis(benzoquinones) PEG**45 **STEP A) Preparation of bis-carboxyl PEG**

50 17 g (5 mmol) of 3400 PEG diol are dissolved in 500 ml of toluene and dried by

5 azeotropic distillation; 15 ml of 1M THF solution of potassium term-but oxide (15 mmol) are added and the reaction mixture is reflexed for 10 minutes, then cooled to room  
10 temperature. 5.4 ml (50 mmol) of ethyl 2-bromoacetate are then added: the solution is stirred for 24 hours at 40°C, then filtered to remove KBr, concentrated at the rotary  
15 evaporator and precipitated in cold diethyl ether. The solid is then dissolved in 250 ml of 0.2 N NaOH (the pH is kept at 12 by dropwise addition of 4 N NaOH); the solution is stirred for 12 hours, and after the pH drops to 4 by dropwise addition of concentrated HCl, is extracted with dichloromethane; the organic phase is dried on sodium sulfate and precipitated in cold diethyl ether. The degree of modification is determined by 1H-NMR

20 10 *STEP B) Preparation of bis(carboxyl 2,5-dimethoxyanilide) PEG*

10 15 20 25 30 35 40 45 50 55 10 g (2.8 mmol, 5.7 meq) of 3400 bis-carboxyl PEG are dissolved in 200 ml of THF together with 2.0 g (6 mmol) of 2,5-dimethoxyaniline (recrystallized three times from hexane); 0.73 g (5.8 mmol) of diisopropyl carbodiimide are then added and the solution is stirred for 24 hours at room temperature. The precipitated diisopropyl urea is filtered off, the THF evaporated at the rotary evaporator; the polymer is then redissolved in toluene, the solution is filtered and then precipitated in cold diethyl ether. The procedure is repeated twice. The degree of modification is determined by 1H-NMR.

35 *STEP C) Preparation of bis(carbonyl 2,5-hydroxyanilide) PEG*

20 25 30 35 40 45 50 55 5 g (1.4 mmol, 5.2 meq) of 3400 bis (carboxyl 2,5-dimethoxyanilide) PEG are dissolved in 50 ml of dry dichloromethane in dry nitrogen atmosphere; 1.2 g (6 mmol, 0.82 ml) of iodo(trimethylsilyl)ane are then added and the solution is stirred for 24 hours at room temperature. The dichloromethane solution is then washed with water till neutrality, dried over sodium sulfate, concentrated to small volume and precipitated in hexane. The reaction yield is determined by 1H-NMR.

5 *STEP D) Preparation of bis(carboxamide 2,5-benzoquinones) PEG*

10 5 g (1.4 mmol, 5.6 meq) of 3400 bis(carbonyl 2,5-hydroxyanilide) PEG are dissolved in 50 ml of ethanol and 1.2 g (7.4 mmol) of iron (III) chloride. The solution is stirred for 24 hours at room temperature, then 150 ml of dichloromethane and 150 ml of water are added and two phases separate; the dichloromethane phase is washed three times with water, then concentrated and precipitated in cold diethyl ether. The reaction yield is determined by  $^1\text{H-NMR}$ .

20 **Preparation of  $\alpha,\omega$ - bis(benzoquinones) poly(lactic acid)-PEG-poly(lactic acid) block copolymer (example with 2.5 monomeric units of lactic acid per PEG end)**10 *STEP A) Preparation of poly(lactic acid)-PEG-poly(lactic acid) block copolymer*

25 17 g (5 mmol) of dry 3400 PEG diol, 3.60 g (0.025 mol) of dl lactide and 15 ml of stannous octanoate are mixed together under dry nitrogen atmosphere. The reaction mixture is stirred at 200°C for 2 hours and at 160°C for 2 hours and subsequently cooled to room temperature. The resulting solid is dissolved in dichloromethane and precipitated in cold diethyl ether.

30 15

*STEPS B to E*

35 Steps B to E are analogous to the steps A to D in the preparation of bis(benzoquinones) PEG.

40 20 **Preparation of poly(ethylene-co-vinyl alcohol-co-2-oxyvinyl-(2',5'-benzoquinones)acetamide)**20 *STEP A to D) Preparations of poly(ethylene-co-vinyl alcohol-co-2-oxyvinyl-acetic acid)*

45 These preparations are analogous to the STEPS A to D in the preparation of bis(benzoquinones) PEG.

5 **Preparation of bis(4-vinylpyridyl) PEG**

10 g (2.7 mmol) of freshly prepared 3400 PEG triflate were reacted for 24 hours at 0°C with 0.75 g (8 mmol) of 4-vinyl pyridine in 30 ml of dry NMP. The solution was 10 precipitated in cold diethyl ether, the solid redissolved in dichloromethane and 5 precipitated again in cold diethyl ether.

15 **Peptide synthesis**

Peptides were synthesized on a Perseptive Biosystems (Framingham, MA, USA) Pioneer peptide synthesizer using the standard Fmoc protection scheme. Peptides were 20 cleaved from the resin using 8.8 ml trifluoroacetic acid (Perseptive Biosystems). 0.5 ml of water, 0.5 ml phenol (Aldrich), and 0.2 ml triisopropylsilane (Aldrich) per gram of resin, 10 for 2 hr at room temperature. The solution was precipitated in ether, and product 25 recovered by filtration, and dried under vacuum. Peptides were purified by C18 chromatography, and fractions containing product were identified by MALDI-TOF mass spectrometry. Peptides were stored under Ar at -20°C.

30 15 Prior to application, cysteine-containing peptides were handled wet in acidic solutions and/or degassed solutions, or dry under vacuum or under argon as much as possible to prevent oxidation.

35 **Example 2: Gel formation by conjugate addition reactions**

40 20 Gels formed by conjugate addition with a low molecular weight tri-thiol and a PEG-linked unsaturation: trimethylolpropane tris(3-mercaptopropionate) and PEG 45 diacrylate

50 mg PEG-8000-2A was dissolved at 0.1 g/ml in 500 microliters of 4:1 50 mM bicarbonate buffer (pH 8.4): acetonitrile. 1.1 microliters of trimethylolpropane tris(3-mercaptopropionate) (1.25 equivalents based on acrylates) were added and the solution

5 mixed by vortexing. The trimethylolpropane tris(3-mercaptopropionate) was not  
perfectly miscible in the solution but formed a suspension of small droplets in the  
aqueous phase. The material did not gel in two hours but was let to sit overnight. At  
10 approximately 12 hours after addition of the trimethylolpropane tris(3-  
mercaptopropionate), a solid cross-linked material had formed. Water was added to the  
material, which swelled with the water but did not dissolve (time scale: weeks before gel  
15 finally discarded due to contamination).

15 Likewise, a gel of higher concentration of PEG and with stronger mechanical  
properties was formed by first dissolving 0.2 g PEG-8000-2A in 750 microliters of  
20 unbuffered water and 250 microliters acetone. 4.4 microliters of trimethylolpropane  
tris(3-mercaptopropionate) (1.25 equivalents based on acrylate groups) were added.  
While trimethylolpropane tris(3-mercaptopropionate) is soluble in acetone at these  
25 concentrations (4.4 microliters trimethylolpropane tris(3-mercaptopropionate)/250  
microliters acetone), it still formed a visibly insoluble suspension with the PEG solution  
upon vortexing. After 2- 4 hours, a highly cross-linked water insoluble material had  
15 formed.

30

**Gels formed by conjugate addition with a peptide-linked nucleophile and a PEG-linked conjugated unsaturation**

35 The peptide GCYKNRDCG (SEQ ID NO: 58) was designed to be sensitive to  
20 hydrolysis by the enzyme plasmin, to contain more than one thiol (cysteine) for addition  
reaction with conjugated unsaturated groups, and to be very water soluble. The peptide  
40 was synthesized according to the methods described above. The peptide was extremely  
water soluble, up to at least 120 mg/ml.

45 Gels were formed from PEG-2500-3A and GCYKNRDCG as well as from PEG-  
25 3500-3A and GCYKNRDCG. Gels have been formed at three ratios of acrylates to

5 sulfhydryls (1: 1, 1.1: 1, and 1.25: 1). Gels were formed in 10 mM phosphate buffered  
saline with triethanolamine to adjust the pH to 8.0-9.0 as tested by paper pH strips (gel  
formation reactions were performed at 50 microliter and smaller scales). Gels have been  
10 made by: predissolving the peptide and then adding peptide solution to PEG-3A; by  
predissolving the PEG-3A and adding its solution to the peptide; and by predissolving  
5 both solutions and then mixing them in appropriate ratios.

15 The following protocol has been used for gel formation at the 40 microliter scale.  
16 The amount of PEG-2500-3A weighed into an Eppendorf varies due to the sticky  
17 qualities of the material that make is somewhat difficult to maneuver. However, the  
18 buffer volume added to the PEG-2500-3A is always adjusted to give the same final  
19 concentration on a mass/volume basis.

25 2.5 mg of GCYKNRDCG were weighed into an Eppendorf tube. 7.0 mg of PEG-  
2500-3A were weighed into a separate Eppendorf tube. 62 microliters of phosphate  
buffered saline (PBS)•TEA (10 mM PBS with 13 microliters of triethanolamine/ml)  
15 were added to the PEG-2500-3A to give a solution of 4.5 mg/40 microliters. The PEG  
solution was allowed to sit until the PEG-3A had dissolved (less than five minutes). 40  
30 microliters of the PEG-3A solution were added to the peptide, which dissolved extremely  
rapidly. The pipet tip used for the transfer was used to stir the mixture for approximately  
35 3 seconds. A 1 microliter sample was withdrawn to test the pH by a paper strip (pH range  
20 1-11). The pH was approximately 8.0. After 20-30 minutes, a gel had formed.

## Controlling the rate of gelation by modulating charge near a nucleophile (e.g., thiol)

40 Two collagenase (MMP-1) sensitive peptides were synthesized:  
 41 GCDDGPQGIWGQDDCG (SEQ ID NO: 59) and GCRDGPQGIWGQDRCG (SEQ ID  
 42 NO: 60) using standard Fmoc techniques described in Example 1. In one peptide, the  
 43 thiol (in cysteine, C) was close to a residue bearing negative charge (aspartic acid, D)

5 when near neutral pH, the pH of interest. In the other peptide, the thiol was near a residue  
bearing positive charge (arginine, R) when close to neutral pH. Each peptide was reacted  
separately with acrylate containing polymers of PEG at pH 8. The rate of conjugate  
10 addition was followed by the consumption of thiols by using DTNB, Ellman's reagent.  
15 Results are shown in Figure 1. The exchange of D  $\rightarrow$  R (negative charge  $\rightarrow$  positive  
charge) next to the thiol increased the rate of reaction such that the half life of thiol  
consumption during gel formation was decreased almost 3 fold. This was accomplished  
by design in order to increase the likelihood that the thiol exists in the S- form which  
participates in the conjugate addition and thus to increase the rate of reaction and  
20 gelation.

#### Swelling (water content) of gels made by conjugate addition

25 Gels were made with 0.1 g/ml, 0.15 g/ml, and 0.2 g/ml PEG-2500-3A at a 20  
microliter scale. The gels contained 1.1 acrylates per sulfhydryl in the peptide  
30 (nucleophile) component, GCYKNRDCG. For gel formation, PBS buffers were adjusted  
15 to account for added acidity of additional peptide in higher concentration gels and to give  
reactions at pH 8.0-8.5. Gels were made in quadruplicate.

35 **Table 6. Conjugate addition gels for swelling studies**

	PEG-2500-3A (mg)	GCYKNRDCG (mg)	Triethanolamine ( $\mu$ l/ml)	% water in swollen gel
10%	2.0	1.1	13.0	96.5%
15%	3.0	1.7	20.1	95.8%
20%	4.0	2.2	26.0	94.8%

40 Gels were swollen in 10 mM PBS, pH 7.3 for 48 hours before the first wet weight  
measurements were made. Gels were weighed wet four times over three consecutive days  
45 with no significant increase in wet masses over this time. Then the gels were soaked in

5 deionized water, with exchanges of the solution phase, for four days after which time the  
gels were lyophilized in order to obtain dry masses. Water contents based on the  
maximum possible dry masses (due to variability in actual dry masses) are all  
10 approximately 95% by mass of the swollen gels.

5 **Gels formed by mixing two powder components**

15 Material precursors may also be delivered to tissues in the dry state. For example, PEG dithiol can be formulated as a powder, PEG tetraacrylate can be formulated as a powder, one or the other but preferably both components containing buffer components such that when the two powder components are mixed and dissolved in water, saline or  
20 physiological fluids a solution forms at a pH such that reaction of the two precursor components occurs, e.g. pH 8. These powder components may be sprayed upon a tissue surface, either along with an aqueous stream or without one. In the case that the powders are sprayed with an aqueous stream or without one. In the case that the powders are sprayed with an aqueous stream, the polymer components dissolve in the aqueous stream, along with the layer of biological fluids on the tissue surface, and then react to form the  
25 final biomaterial implant. In the case of application of the powder components to the tissue surface, the polymeric precursors and the buffer components dissolve in the biological fluids and form a precursor solution, capable of reaction to form the final biomaterial implant. In the case where the biological fluids provide the moisture for  
30 dissolution of the polymeric precursor components, the concentration of the polymeric precursor components may be high, resulting in a strong biomaterial implant and good adhesion to the tissue. In the application of the powder streams, the powders may be mixed together and then applied as a single powder mixture to the moist tissue surface, or they may be mixed in a spray from two components. The powder components may be  
35 formed by methods known to those skilled in the art of powder technology, such as  
40  
45 25

5 precipitation, grinding, milling, lyophilization, spray drying, and so forth. Small particles  
will lead to more effective and rapid dissolution, either in an aqueous stream or in  
moisture at the tissue surface. The two polymeric precursor components should be mixed  
10 together at a ratio such that the thiol components and the acrylate components are  
approximately equi-equivalent on a mole of thiol per mole of acrylate basis.  
15 Furthermore, the nature of the biomaterial implant may be controlled by adding other  
agents to the precursor powders, such as particles that are slow to dissolve in aqueous  
solution or gas formers, both of which will lead to the formation of pores within the  
material implant after curing.

20 10 Example 3: General protocols for immobilizing peptides and testing activity with  
cells in culture

25 Peptide immobilization in gels in which the peptide is immobilized by conjugate  
addition and the gel is formed by conjugate addition

30 15 13.9 mg PEG-2500-3A was dissolved in 69.5 microliters (5.0 mg/25 microliters)  
of PBS•TEA (10 mM PBS containing 13 microliters of triethanolamine/ml) containing  
GCGYGRGDSPG (SEQ ID NO: 61) at a concentration of 3.2 mg GCGYGRGDSPG/ml.  
35 20 7.0 mg GCYKNRDCG was dissolved in 65 microliters of PBS•TEA (2.7 mg/25  
microliter). The GCYKNRDCG was filtered through a 0.22 micron filter. After 9  
minutes of reaction time, the PEG-2500-3A/GCGYGRGDSPG solution was separately  
40 25 filtered through a 0.22 micron filter. As soon as the filtrations were complete,  
equivolumes (25 microliters) of the two solutions were added to wells of a Corning flat-  
bottomed tissue culture treated polystyrene 96 well plates. As the second of the two  
precursor solutions was added, the pipet tip was used to stir the mixture for 2-3 seconds.  
Then the gels were allowed to set at 37°C.

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55

## 5 Cell-resistance of gels made by conjugate addition lacking incorporated adhesion peptides

Conjugate addition gels were made with 0.1 g/ml PEG-2500-3A and 1.1 acrylates per sulphydryl in GCYKNRDG. The gels were swollen for 24 hours in Dulbecco's modified Eagle's medium (some in serum-free conditions and some in 10% fetal bovine serum) containing 1% antibiotic and antimycotic agents. Human foreskin fibroblasts (passage 7; passaged with trypsin/EDTA) were seeded onto the gels. From time points two hours to 48 hours, the cells remained round and did not spread. The cells became increasingly clumped together. The cellular behavior was independent of serum in the medium. Control cells seeded on tissue culture treated polystyrene spread normally.

## Cell interaction with gels made by conjugate addition containing incorporated adhesion peptides

5 **Cell interactions with the polyethylene glycol networks**

Cell interactions with the polyethylene glycol networks were tested by seeding human cells onto the gels using standard tissue culture methods. Human foreskin fibroblasts or human umbilical vein endothelial cells were purchased from Clonetics (San Diego, CA, USA). Fibroblasts were cultured in Dulbecco's Modified Eagles Medium containing 10% fetal bovine serum and 1% antibiotics (all from GIBCO BRL, Grand Island, NY, USA) at 37°C and 5% CO<sub>2</sub>. Endothelial cells were cultured in M199 media with 10% fetal bovine serum and 1% antibiotics (all from GIBCO BRL). Per 50 ml of media were added 100 µg/ml heparin (Sigma, St. Louis, MO, USA) and 3 mg of Endothelial cell growth supplement (Becton Dickinson Labware, Bedford, MA, USA). Cells were removed from culture substrates using Trypsin/EDTA (GIBCO BRL), centrifuged (500 g for 5 min for fibroblasts, 250 g for 5 min for endothelial cells), and resuspended in the normal cell culture media before seeding onto polyethylene glycol gels.

15 **Example 4: Chemical analysis of reaction products**30 **Reaction kinetics measured with Ellman's reagent**

Ellman's reagent was used to measure the concentration of thiols in a solution. The assay utilized a solution of 40 mg Dinitrobisthiol nitrobenzene (Sigma) in 10 ml of 0.1 M phosphate buffer pH 8 (Ellman's reagent). A solution was tested for the presence of thiols by the addition of the solution to 3 ml of the phosphate buffer. Ellman's reagent (100 µl) was added and mixed. After 15 min, the absorbance of the solution was measured 412 nm. A molar absorption coefficient of 14150 was assumed.

Using the amino acid cysteine, Ellman's reagent revealed no detectable disulfide bond formation at pH 10 within 30 min at room temperature. If cysteine were added to an excess of PEG diacrylate, mol. wt. 8000, at the same conditions, the concentration of

5 thiols dropped to 0.2% of the original value within seconds, and did not decrease further out to 30 min. demonstrating the rapid disappearance of thiols in the presence of PEG diacrylate. The conjugate addition reaction between PEG diacrylate and the amino acid 10 cysteine is shown in Figure 2.

5 The peptide with the amino acid sequence Ac-GCGYRGDSP-NH<sub>2</sub> (SEQ ID NO: 62) was tested similarly. PEG diacrylate was dissolved in the phosphate buffer at pH 8 at 15 a concentration of 25 μmol in 1 ml. The peptide (1 μmol) was added to the PEG diacrylate solution, and the disappearance of thiols was monitored using Ellman's reagent 20 (see Figure 3). The reaction was performed at different pH, and additionally, the formation of disulfide bonds was assessed by dissolving the peptide at the same 25 concentrations but in the absence of PEG diacrylate. The half life for the reaction was about 3 min at pH 7.4, and only a few seconds at pH 8, at room temperature.

25 Another method to on-line follow the reaction between thiols and PEG diacrylate  
is monitoring the absorbance of the reaction mixture at 233 nm. At this wavelength, the  
15 absorbance is in principle due to four substances: the thiol components, the disulfide  
impurities in the thiol component, the acrylate and the product (the  $\beta$ -alkylthio propionic  
30 ester). The experiments were conducted in  $10^{-2}$  M PBS buffer at various temperatures  
ester). The experiments were conducted in  $10^{-2}$  M PBS buffer at various temperatures  
between 20°C and 37°C.

35 20 Performing experiments at several reactants ratios, but keeping constant the overall  
 molar concentration of reactive groups (Figure 4), the extinction coefficients of all the  
 species can be calculated fitting the absorption values before and after the reaction. This  
 procedure allowed to follow independently the time evolution of the concentration of  
 40 reactants and products: PEGDA and cysteine showed a single exponential behavior, with  
 same kinetic constants and this proofed the reaction to be first order for every reactant.  
 45 25 Half-life times between 2 and 10 minutes, depending on temperature and reactants  
 concentration have been recorded. In Table 7, the kinetic constants are listed.

5

Table 7: First-order kinetic constants for PEGDA-cysteine reaction at different PEGDA content, with a  $2.5 \times 10^{-3}$  M overall cumulative concentration

PEGDA equivalent fraction	k (min <sup>-1</sup> )
0.18	0.14
0.33	0.12
0.46	0.06
0.57	0.10
0.75	0.28
0.82	0.32
0.88	0.42
0.95	0.59

20

Reaction of the PEG diacrylate with primary amines was also assessed. PEG diacrylate was mixed with a peptide with the amino acid sequence GDGSGYGRGDSPG (SEQ ID NO: 63), which contains only one primary amine at the amine terminus of the peptide. The presence of amines was measured using the fluorescamine assay.

25

Fluorescamine (Sigma) was dissolved in dry acetone at 1.5 mg/ml. The peptide (1 mg) was added to 100  $\mu$ l of 0.1 M phosphate buffer at pH 8. PEG diacrylate, mol. wt. 8000 (100 mg), was dissolved to 900  $\mu$ l in 0.1 M phosphate buffer, pH 8 and mixed with the peptide solution. Samples were taken from the reaction and added to 100  $\mu$ l of 1.5 mg fluorescamine in dry acetone, and raised to 1 ml with 50 mM borate buffer at pH 9.

30

The fluorescence intensity was measured using a spectrofluorimeter, and concentrations calculated by comparison with a standard curve produced using the amino acid glycine. The half life for the reaction of the amine with an acrylate was about 90 min at pH 8 and 37°C.

45

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5 **Production of PEG-peptide adducts assessed using size exclusion chromatography**

Aqueous size exclusion chromatography was performed using a Shodex OHpak SB-803 column (Showa Denko, Tokyo, Japan), using UV detection, measuring absorbance from 200-400 nm. The eluent was phosphate buffered saline (10 mM sodium phosphate, 140 mM NaCl, pH 7.4). PEG diacrylate has maximum absorbance at 205 nm, whereas the peptide used, GCGYGRGDS (SEQ ID NO: 64) has absorbance maxima at 220 and 270 nm, due to the presence of amide bonds, and a tyrosine. PEG diacrylate was dissolved in 0.1 M phosphate buffer at pH 8 at a concentration of 25  $\mu$ mol in 1 ml. A sample of the solution was separated using size exclusion chromatography, and the polyethylene glycol eluted as a single peak with an absorbance maximum at 205 nm, and no absorbance at 220 or 270 nm. Next, the peptide (12.5  $\mu$ mol) was added to the PEG diacrylate solution, and reacted at room temperature for 5 min. A sample was then separated using size exclusion chromatography, and a single peak was detected, with absorbance maxima at 205, 220, and 270 nm, with the same retention time as PEG diacrylate. This indicated that the peptide reacted with the PEG diacrylate. Similar studies were performed using C18 chromatography, using a gradient from 95% water with 0.1% trifluoroacetic acid, 5% acetonitrile to 40% water with 0.1% trifluoroacetic acid, 60% acetonitrile. The peptide Ac-GCGYGRGDSP-NH<sub>2</sub>, eluted at about 20% acetonitrile, whereas PEG or PEG-3400 diacrylate eluted at about 40% acetonitrile. Incubation of 1 mol of the peptide per 2 mol of PEG-3400 diacrylate in buffered water at pH 8 led to the disappearance of the peptide-related peak that elutes at 20% acetonitrile, with the emergence of absorbance bands at 220 and 270 nm that coeluted with the PEG peak at 40% acetonitrile. Collecting the peaks and analyzing by MALDI-TOF mass spectrometry indicated that the PEG-associated peak contained a mixture of unmodified PEG-3400 diacrylate, and a new species with molecular weight that was the sum of the PEG-3400 diacrylate and the peptide molecular weights.

## Analysis of reaction kinetics of acrylate, crotonylate and dimethylacrylate-terminated PEGs with cysteine

The amino acid cysteine was mixed in solution (0.1M phosphate, pH 8.0) with functionalized PEGs (PEG-8000-2A, PEG-8000-2C, and PEG-8000-2DMA) such that thiols and conjugated unsaturated groups were initially in equimolar concentrations (20 micromolar). In the presence of dimethacryloyl functionalities, the rate of thiol consumption was essentially zero over the time scale followed (10 minutes). In the presence of the less sterically hindered crotonoyl functionalities (one methyl substitution on the double bond), the rate of thiol consumption was increased. In the presence of the even less hindered acrylates, the concentration of thiols decreased more rapidly, but did not go to completion in the time course followed. See Figure 5.

In a similar experiment where the concentration of conjugated unsaturated groups was ten times that of the thiol groups, the consumption of thiols by acrylates was extremely rapid. The reaction was complete by the taking of the first sample at time 1 minute (data not shown).

**Example 5: Demonstration of hydrolysis of the bond formed between a cysteine-containing peptide and acrylated polymers**

### Hydrolysis in solution

The peptide Ac-GCGYGRGDSP-NH<sub>2</sub> was dissolved in deionized water, and PEG-8000 diacrylate was dissolved in deionized water buffered with 10 mM HEPES and 115 mM triethanolamine at pH 8. After mixing 1 mol of the peptide per 2 mol of the PEG-8000 diacrylate, the reaction was followed by C18 chromatography, using a gradient from 95% water with 0.1% trifluoroacetic acid, 5% acetonitrile to 40% water with 0.1% trifluoroacetic acid, 60% acetonitrile. The peptide Ac-GCGYGRGDSP-NH<sub>2</sub>, eluted at about 20% acetonitrile, whereas PEG or PEG-8000 diacrylate eluted at about 40%

5 acetonitrile. Rapidly, the free peptide peak at 20% acetonitrile disappeared, and the peptide then coeluted with the PEG peak at 40% acetonitrile. The solution containing the PEG-peptide adduct was then incubated at 37°C, and C18 chromatographic injections  
10 were made at later time points to detect hydrolysis of the peptide from the polymer. This  
15 was measured by observing the decrease in signal at 273 nm that coeluted with the PEG peak, and the reappearance of the free peptide peak at about 20% acetonitrile. MALDI-TOF mass spectrometry of the new peak eluting at about 20% acetonitrile revealed a product of molecular weight which corresponded to the molecular weight of the original peptide plus 72 mass units. This indicated that the new peak contains peptide modified  
20 with propionic acid, which was the product that would be expected following conjugate addition between the cysteine on the peptide and an acrylate group, followed by hydrolysis of the ester of the modified acrylate. A half-life for hydrolysis of the ester between the peptide and the PEG was found to be 4.86 days. This corresponds to a half-life of hydrolysis of about 3 weeks at pH 7.4.

15 **Degradation of gels formed by reaction of polymers containing thiols and acrylates**

30 PEG-3400 triacrylate was dissolved in 50 mM HEPES buffered saline, pH 8 at a concentration of 20% (w/v). PEG-3400 dithiol (Shearwater Polymers, Huntsville, AL, USA) was dissolved in 1 mM MES buffered saline, pH 5.6 at a concentration of 20% (w/v). The solutions were mixed at a ratio of 1 acrylate : 1 thiol. Gels formed after a few  
35 minutes at 37°C, and the gels were then transferred to tubes containing 10 mM HEPES buffered saline at pH 7.4, and were incubated at 37°C. The HEPES buffered saline was replaced daily for the first week, and the presence of a gel remaining in the tube was  
40 assessed daily. Solid gels were found to be gone from the tubes after about 3 weeks, with solid gels absent from the tubes between 18 and 24 days after cross-linking. This is  
45 compared with gels formed from PEG-8000 diacrylate by free-radical cross-linking

5 (Pathak, supra), which are still present after 4 months at pH 7.4, 37°C.

10 **Degradation of gels formed by reaction of molecules containing thiols and acrylates**

13.9 mg PEG-2500-3A was dissolved in 69.5 microliters (5.0 mg/25 microliters) of PBS•TEA (10 mM PBS containing 13 microliters of triethanolamine/ml). 7.0 mg of

15 GCYKNRDCG was dissolved in 65 microliters of PBS•TEA (2.7 mg/25 microliter).

20 Equivolumes (25 microliters) of the two solutions were added to wells of a Corning flat-bottomed tissue culture treated polystyrene 96 well plates. As the second of the two precursor solutions was added, the pipet tip was used to stir the mixture for 2-3 seconds.

25 Then the gels were allowed to set at 37°C. The gels were then transferred to tubes containing 10 mM HEPES buffered saline, pH 7.4. The gels were incubated at 37°C, and the disappearance of the solid gels was followed visually. Between 14 and 21 days, all of the solid gels were gone, indicating that they had degraded by hydrolysis of the ester bond between the peptide and the PEG.

30 **Control of the rate of hydrolysis via change in the local environment**

35 13.9 mg PEG-2500-3A is dissolved in 69.5 microliters (5.0 mg/25 microliters) of PBS•TEA (10 mM PBS containing 13 microliters of triethanolamine/ml). 7.0 mg of

40 GKKKKGCYKNRDCG (SEQ ID NO: 65) is dissolved in 65 microliters of PBS•TEA (2.7 mg/25 microliter). Equivolumes (25 microliters) of the two solutions are added to

45 wells of a Corning flat-bottomed tissue culture treated polystyrene 96 well plates. As the second of the two precursor solutions is added, the pipet tip is used to stir the mixture for 2-3 seconds. Then the gels are allowed to set at 37°C. The gels are then transferred to tubes containing 10 mM HEPES buffered saline, pH 7.4. The gels are incubated at 37°C,

50 and the disappearance of the solid gels is followed visually. The extra lysines found in the peptide ("GKKKK...") are added so as to provide additional nucleophiles to the local

5 environment of the ester bond. Additionally, the cationic nature of the groups may also lead to a raising of the local pH. The combination of these two effects is expected to enhance the rate of hydrolysis of the ester bond between the peptide and the polymer.

10

15 Example 6: Demonstration of plasmin hydrolysis of gels formed by conjugate addition with a peptide containing two cysteine residues with a plasmin substrate sequence in between, and lack of hydrolysis of a substituted peptide

5

10 Synthesis of gels by conjugate addition

15 Since enzymes and peptides are chiral, the stereochemistry of GCYKNRDCG was altered to make a plasmin-stable nucleophile for gels made by conjugate addition. This 20 plasmin stable peptide was: GCY-DLys-N-DArg-DCG (SEQ ID NO: 66). The sequence 25 was otherwise not altered in order to maintain the extremely good water solubility properties of GCYKNRDCG.

30 Analytical C18 HPLC (linear acetonitrile gradient over 0.1% TFA in water) was 15 used to confirm the relative plasmin-stability of GCY-DLys-N-DArg-DCG. The following samples were run: plasmin; GCYKNRDCG; plasmin + GCYKNRDCG; GCY- 35 DLys-N-DArg-DCG; and plasmin + GCY-DLys-N-DArg-DCG. Plasmin (micromolar) was present at 1/1000 the concentration of the peptide (millimolar) and hence did not 40 affect overlain absorbance chromatograms. Overlaying the traces (absorbance at 220 nm or 278 nm) of the peptide elutions vs. those of the peptide - plasmin, demonstrated that the most of the GCYKNRDCG peptide was degraded in approximately 1 hour at 37°C. The GCY-DLys-N-DArg-DCG peptide however, was unaffected by the plasmin at 24 hours, and remained unaffected over the lifetime of the plasmin in the sample (sample injected for C18 at 2 weeks).

45

50

55

5 **Demonstration of plasmin-sensitivity and plasmin-resistance**

Gels were made according to the 40 microliter protocol given above. Some contained the GCYKNRDCG peptide with Lys and Arg in the L configuration. Another contained the GCY-DLys-N-DArg-DCG instead. All were exposed to 0.2 units of plasmin in 200 microliters and incubated at 37°C. The L-Lys, L-Arg configuration of the peptide was readily degraded by the enzyme. In one case, after 6 hours no gel remained. The DLys, DArg configuration gel has not been shown to degrade by plasminolysis.

10 **Example 7: Incorporation of peptides into polyethylene glycol gels formed by photopolymerization**15 **Gel synthesis**

Polyethylene glycol diacrylate of mol. wt. 8000 (230 mg/ml) was allowed to dissolve in HEPES buffered saline (10 mmol HEPES, Sigma, 8 g/L NaCl, pH 7.4) for 1 hr. Triethanolamine (Aldrich, 15.3 µl/ml) was added, and the pH of the solution was adjusted to pH 8 with 6 N HCl. Cysteine containing peptides were dissolved in 116.5 µl of HEPES buffered saline, and added to 870 µl of the PEG solution with vortexing. After 5 min, 3.5 µl of N-vinyl pyrrolidone and 10 µl of a 10 mM solution of Eosin Y were added, followed by vortexing. Gels were formed by exposure to light at 75 mW/cm<sup>2</sup> for 1 min (Cermex Xenon Lightsource, transmitting light between 470 and 520 nm; ILC Technology, Sunnyvale, CA, USA). Gels were allowed to swell in Tris buffered saline, pH 7.4 (4.36 g Tris HCl, 0.64 g Trizma base, 8 g NaCl, 0.2 g KCl per 1 L, all from Sigma) for 36 hr.

20 Cell interaction with PEG gels containing peptides incorporated by conjugate addition in which the gel is formed by photopolymerization

25 PEG gels were prepared as described above, using the peptide GCGYGRGDSPG.

Most cells have receptors that recognize the sequence GRGDSPG, and cells will interact

5 with surfaces displaying immobilized RGD containing peptides. To test cellular  
interactions of cells with PEG gels containing peptides incorporated via conjugate  
addition, gels were formed and human umbilical vein endothelial cells were seeded onto  
10 the gels. The change in the shape of the cells on the surface was observed, which  
5 indicated that the cells were interacting with the peptides on the surface. The change in  
shape is referred to as spreading, and refers to the change of the cell shape from spherical  
15 to flattened and polygonal on the surface. No cell spreading occurred on the PEG gels  
without peptide, and the specificity of the GCGYGRGDSPG peptide was confirmed by  
comparison with gels containing the peptide GCGYGRDGSPG, which contains the same  
20 amino acids, but in a different sequence, and which has no biological activity. Cells were  
seeded onto the gels at a concentration of 400 cells per mm<sup>2</sup>, and the number of spread  
cells per area were counted at different times (see Figure 6). The experiments were  
25 performed using the normal cell culture medium. Cells could only spread on gels that  
15 contained the peptide GCGYGRGDSPG, which was incorporated into the gels utilizing a  
conjugate addition reaction.

30 **Example 8: Formation of pH sensitive gels using conjugate addition reactions**

The peptide GCCGH<sub>4</sub>HGGCCG (SEQ ID NO: 67) was synthesized as  
described above. The peptide (10 mg) was dissolved in 15  $\mu$ l of 10 mM phosphate  
35 buffered saline, pH 7.4 and 25  $\mu$ l ethanol. The pH of the solution was adjusted to pH 5.8  
using 1N NaOH, and then PEG diacrylate, mol. wt. 400 (7.5  $\mu$ l, Aldrich), was added.  
20 The mixture was incubated at 37°C for 5 min. A hydrogel was formed, that demonstrated  
40 about a 50% increase in diameter upon changing from pH 7.4 to pH 5.8.

Gels were polymerized as spheres by adding the gelling solution from above to 1  
ml of cyclohexane containing 94 mg of Hypermer B239 (ICI Surfactants, Wilmington,  
45 DE, USA), with vortexing at 37°C for 10 min. Spheres were produced with diameters

5 ranging from 2  $\mu$ m to 20  $\mu$ m, which demonstrated about a 50% increase in diameter upon changing from pH 7.4 to pH 5.5.

10 **Example 9: Formation of particles for protein delivery applications**

15 PEG-3400 triacrylate is dissolved in 50 mM HEPES buffered saline, pH 8 at a concentration of 20% (w/v), with 2% albumin (Sigma, St. Louis, MO, USA). PEG-3400 dithiol (Shearwater Polymers, Huntsville, AL, USA) is dissolved in 1 mM MES buffered saline, pH 5.6 at a concentration of 20% (w/v). The solutions are mixed at a ratio of 1 acrylate : 1 thiol. The liquid solution (50  $\mu$ l) is rapidly added to 1 ml of cyclohexane containing 100 mg Hypermer B239 (ICI Surfactants, Wilmington, DE, USA), with rapid stirring. The mixture is heated to 37°C for 30 min. The polymerized, protein-containing spheres are then washed with additional cyclohexane to remove surfactant, followed by drying in vacuum to remove cyclohexane. The particles are then resuspended in HEPES buffered saline, pH 7.4. Release of protein from the microspheres is measured by changing the resuspending medium daily, and protein in the resuspending medium is assessed using size exclusion chromatography combined with UV detection at 280 nm. Protein concentrations in the resuspending medium are determined from a concentration standard curve for albumin at 280 nm.

35 **Example 10: Targeting PEG-triacrylate microspheres to cells and tissues using peptides incorporated via conjugate addition**

40 Microspheres are formed via conjugate addition cross-linking of PEG-triacrylate and the peptide GCYdKNdRDCG (SEQ ID NO: 68) as in Example 7, but additionally the peptide GCGYGRGDSPG is also included in the reaction mixture, at a ratio of 1 GCGYGRGDSPG to 8 GCYdKNdRDCG. The bioactive peptide is tested for the ability 45 to localize microspheres to the surfaces of cells, as compared with microspheres

5 containing no bioactive peptide.

**Example 11: Drug encapsulation and delivery by gels made by conjugate addition**

10 Because the conditions for forming the PEG gels by conjugate addition are quite  
mild (room temperature to 37°C, pH approximately 8.0, in aqueous solvent), drugs such  
5 as protein drugs are incorporated into the gels for delivery. Such mild conditions do not  
denature most proteins. The drug is incorporated in a number of fashions. In one  
15 method, protein or other drug (soluble in water, ethanol, acetonitrile or other solvent for  
both the PEG and the enzyme sensitive peptide and which can be exchanged for aqueous  
20 buffer) is entrapped in the pore spaces of the gel during gel formation. Because free  
cysteines are relatively rare in natural proteins, one need only worry in the minority of  
25 cases that the protein will be cross-linked to the gel. Also, selectivity of the reaction is  
quite good since the addition of conjugated unsaturated compounds to other nucleophiles  
in proteins (hydroxyls and amines) is extremely slow compared to sulphydryls. When the  
30 drug is larger than the pore spaces of the gel in its swollen state, as controlled by the  
molecular weight and concentrations of the precursors, then the drug does not diffuse out  
15 of the gel at an appreciable rate but is rather released by surface enzymatic degradation of  
the gel.

35 **Diffusive and degradative control of protein release: Myoglobin release following  
40 entrapment in gel pore spaces**

20 The protein myoglobin (17,000 Da) was released from hydrogels made by  
conjugate addition between thiols and acrylates. PEG-3500-3A at 0.2 g/ml in PBS, pH  
45 7.4 was mixed with a solution of the plasmin sensitive peptide GCYKNRDCG such that  
the concentration of thiols and acrylates was the same and the final concentration of PEG-  
3500-3A was 10% (precursor solution). To some of the precursor solution, myoglobin

5 was added (5.2  $\mu$ l of 9.8 mg/ml myoglobin solution per 195  $\mu$ l of precursor solution).  
10 Myoglobin was chosen as a model protein for growth factors, such as BMP-2, because of its similar size. 200  $\mu$ l aliquots of precursor solution with and without myoglobin were made onto hemostatic collagen sponges. To some control sponges 5.2  $\mu$ l of the 9.8  
15 mg/ml myoglobin solution were added without gel precursors. To some sponges, PBS was added instead of myoglobin. After gels had solidified within the sponges, each sample was incubated in 4 ml of 10 mM PBS, pH 7.4, containing 0.1% sodium azide to prevent bacterial and fungal contamination. At 6 hr, 12 hr, 24 hr, 2 d, 3 d, 7 d, and 13 d  
20 the solution phase was removed from each sample and replaced with fresh PBS with 0.1% sodium azide. After day 13, the solutions were replaced with 0.08 units of plasmin in 4 ml PBS, the discontinuity marked by the vertical line in Figure 7. Solutions were developed using the BIORAD/Bradford protein microassay and compared to a standard  
25 curve made from myoglobin solutions of known concentration. The samples with myoglobin within the hydrogel material showed a delayed release of the myoglobin (diffusion limited) but did, following hydrogel degradation by the enzyme plasmin, release a total amount of protein not different from the total released from the sponges  
30 alone (no hydrogel) (data not shown).

#### Release employing incorporated affinity sites

35 In another method, drugs such as heparin binding growth factors are  
40 electrostatically sequestered within the gel. This method is effective for trapping relatively low molecular weight compounds that otherwise diffuse out of the gel through its pores, especially in the swollen state of the gel. The sequestering is accomplished in a variety of methods.

45 In a first approach, one includes during gel formation by conjugate addition a  
50 heparin-binding peptide that contains one or more cysteines (i.e., the peptide can be

5 pendant or serve as cross-linker), heparin, and the heparin-binding growth factor. In a  
10 second approach, one makes one's unnatural proteins with molecular biological  
15 techniques and engineers in heparin-binding regions where none (or where only low  
20 affinity ones) existed before. In a third approach, one makes unnatural proteins that  
25 contain unpaired cysteines. Then one covalently couples the protein via this cysteine  
linker to the gel during the gel formation process. In a fourth approach, one engineers  
both an unpaired cysteine and an enzyme-sensitive region into an unnatural protein. This  
protein is also covalently incorporated into the conjugate addition gels at gel formation,  
but this protein is released in the presence of the proper protease, which can be the same  
that degrades the bulk of the gel or a distinct enzyme. In a fifth case one makes a heparin  
mimic containing a thiol, for example, a cys residue, or a conjugated unsaturation and  
covalently incorporates it into the material in the presence of a heparin-binding growth  
factor such that the growth factor is electrostatically sequestered.

25

**Incorporation of growth factor affinity and sequestering growth factors for  
30 prolonged release**

35 Heparin-binding proteins including heparin-binding growth factors are non-  
40 covalently bound to the material at material formation. If the protein to be bound does  
not contain a native heparin-binding sequence, a fusion protein is constructed (using  
45 molecular biological techniques and starting from the DNA level) to contain the native  
protein sequence and a synthetic heparin-binding domain.

50 For example, nerve growth factor (NGF) is expressed as a fusion protein in *E. coli*  
such that the protein contains a heparin-binding domain at the N-terminus and the NGF  
sequence at the C-terminus of the protein. This is accomplished by constructing a  
55 synthetic gene containing the DNA which codes for the desired fusion protein. The  
protein sequence to expressed is as follows:

5

10 *MGSSHHHHHSSGLVPRGSHMKDPKRLYRSRKL* PVELESSHP**I**FHRGEFSVCDS

VSVWVGDKTTADIKGKEVMVLGEVNINNSVFKQYFFETKCRDPNPVDSGCRGI

DSKHWNSYCTTHTFVKALTMDGKQAAWRFIRIDTACVCVLSRKAVRZ (SEQ ID

NO: 69)

15 5 where the region in italics is the Histidine tag derived from the expression vector, and the underlined region is the thrombin cleavage site. Amino acids appearing in bold type denote the heparin-binding sequence.

20 20 The cloning plasmid used for gene assembly is pUC 18. The DNA sequence of the gene is as follows from 5' to 3':

25 10 GAATTCCCATGGCATATGAAAGACCCGAACGTCTGTACCGTTCTCGTAAACT  
GCCCGTGGAACTCGAGAGCTTCCCACCCGATTTCCATCGTGGCGAGTTCT  
CCGTGTGTGACTCTGTCTGTATGGTAGGCGATAAAACCACTGCCACTGAT  
ATCAAAGGCAAAGAGGTGATGGTCTGGGAGAAGTAACATTAACAACACTTG  
TATTCAAACAGTACTTCTCGAAACTAAAGTGCCGTACCCGAACCCGGTAGAC  
30 15 TCTGGGTGTCGCGGCATCGATTCTAACACTGGAACTCTTACTGCACCACTAC  
TCACACTTTCTAAAGCGTTGACTATGGATGGTAAACAGGCTGCCTGGCGTT  
TCATCCGTATCGATACTGCATGCGTGTGTACTGTCCCGTAAAGCTGTTCGT  
TAAGGATCC (SEQ ID NO: 70)35 35 This gene is inserted between the EcoRI and HindIII sites in the polylinker cloning region  
20 20 of pUC 18. After assembly, this gene is inserted into the expression vector. Expression  
and purification are then performed.40 40 Using standard Fmoc peptide synthesis described above in Example 1, a heparin  
binding peptide, such as GCGK( $\beta$ A)FAKLAARLYRKA (SEQ ID NO: 71; see Table 5) is  
synthesized. For material formation, the peptide is preincubated with the conjugated  
45 25 unsaturated precursor; the fusion protein is preincubated with heparin; then the fusion

5 protein-heparin complex is added to the peptide-unsaturation such that the peptide is  
covalently coupled to the conjugated unsaturation and simultaneously heparin forms a  
10 non-covalent bridge between the peptide and the protein. Then the thiol containing cross-  
linking precursor is added, and the material is formed with the heparin-bound protein  
15 throughout.

15 **Covalent incorporation of proteins and potential for enzymatically controlled  
release**

20 A fusion protein is constructed to contain the protein of interest and at one terminus a short peptidyl sequence degradable by an enzyme, such as plasmin, and a  
25 cysteine distal to the site for proteolysis. The cysteine allows covalent incorporation of the protein in the material at material formation. The site for proteolysis allows for release of the protein in its native form at a rate determined by cellular activity, for example, activation of proteases such as plasmin or collagenase used in cell migration.  
30 The release of the protein can be controlled by the same or by a different enzyme than the one that degrades the material itself. There are also cases where covalent binding of the  
35 protein to the material without enzymatic release is desired. In these cases, the protein is engineered starting from the DNA level to contain an unpaired cysteine (e.g., at one of the termini of the protein) but no new site for proteolysis.

40 For example, the DNA for vascular endothelial growth factor (VEGF) is modified using site directed mutagenesis to introduce a cysteine near the N terminus of the protein. Molecular biological techniques are used to synthesize, purify and fold the protein. The protein is incubated with PEG-triacrylate with acrylates in excess of thiols in the protein. A plasmin sensitive peptide containing two thiols (GCYKNRDCG) is added to cross-link the material with the growth factor incorporated throughout.

5

Example 12: Drug (including non-protein drug) delivery from a material via covalently linked prodrugs which can be released as drugs by proteolysis

10 Another method for the covalent incorporation of prodrugs within a material is described. One can deliver a prodrug from a material where the material is soluble.

15 Large molecular weight modifications of drugs are used to modulate circulation time, prodrug targeting, immune tolerance and mode of cellular uptake. A stable carrier-drug linkage is desired for transport. However, a bond that can be cleaved upon arrival at the desired location is of interest. An amide bond where one constituent of the bond is an L-amino acid recognized by a proteolytic enzyme is appropriate. Kopecek et al. (Pato, J.,

20 M. Azori, K. Ulbrich, and J. Kopecek, Makromol. Chem. 185: 231-237, 1984.) have published a great deal with regard to such enzymatically degradable soluble

25 macromolecular drug carriers. However, little work appears with regard to enzymatically controlled drug delivery from solid materials, such as hydrogels. Delivery from a solid material serves to localize drug delivery to a desired site, for example, the site of material formation. Delivery from a solid material where the drug release is performed by cellular

30 activity, such as expression of proteolytic enzymes, controls the rate of release such that cellular activity (e.g., cell migration) determines the rate of release.

35 The functional groups of a drug (such as anti-cancer drugs doxorubicin or daunorubicin) are protected with the exception of amine functional groups. The amine groups on the drug are coupled to an amino acid or peptide by formation of an amide bond. The amino acid or peptide is chosen to be degradable amino-terminal to the amino acid (Y) or peptide (XXXXY; SEQ ID NO:72), hence at the amide bond that joins the amino acid or peptide to the drug, by a proteolytic enzyme, such as plasmin which

40 cleaves amino-terminal to lysine and arginine. Either a thiol (e.g., cysteine) is included in

45 the coupled peptide or is next coupled to the amino acid or peptide portion of the peptide-

5 drug conjugate. The drug and peptide functional groups are deprotected (to give SH-  
10 XXXXY-drug). At material formation, the thiol-peptide-drug conjugate is covalently  
coupled to the material by way of conjugate addition of the thiol on a conjugated  
15 unsaturation in the material precursor. The drug is released from the material by  
enzymatic activity, such as plasminolysis, that cleaves the amide bond (Y-drug) linking  
the drug to the material.

15 Alternatively, the functional groups of a drug (such as the prostaglandin antagonist  
diclofenac) are protected with the exception of carboxyl functional groups. The carboxyl  
20 groups are activated and coupled to a peptide by formation of an amide bond at the  
peptide amino terminus. The peptide is designed to contain a thiol (e.g., cysteine) and to  
be degradable carboxyl-terminal to the peptide, hence at the amide bond that joins the  
25 peptide to the drug, by a proteolytic enzyme which cleaves carboxyl-terminal to specific  
amino acids (Y). The drug and peptide functional groups are deprotected. At material  
formation, the thiol-peptide-drug conjugate (drug-YXXXX-SH) is covalently coupled to  
30 the material by way of conjugate addition of the thiol on a conjugated unsaturation in the  
material precursor. The drug is released from the material by enzymatic activity that  
cleaves the amide bond (drug-Y) linking the drug to the material.

**Example 13: Tissue Regeneration**

**Ectopic (subcutaneous) bone formation in the rat**

20 Materials were made essentially according to Example 3, but under sterile  
conditions and with PEG-3500-3A, a molar ratio of acrylates: thiols of 1:1, and a molar  
40 ratio of GCGYGRGDSPG: acrylates of 1/12. At the time of gel formation, a recombinant  
human growth factor, BMP-2, which induces bone formation was added to the precursor  
solution at a concentration of 250 µg/ml of precursor solution. Precursor solution was  
45 25 added to hemostatic collagen sponges (Helistat; 3 mm diameter, approximately 3.5 mm

5 height). Precursor solution was added until the sponges could not absorb more solution  
10 (approximately 160  $\mu$ l). The gels were allowed to solidify in the sponges. Gels were  
briefly washed with PBS then kept minimally wet until implantation subcutaneously in  
rats. The implants were removed after two weeks, fixed, and hematoxylin and eosin  
15 stained. The materials were well infiltrated by cells with very little residual material  
remaining and promoted bone formation (mineralization and marrow formation) and  
vascularization. This indicates that the materials can deliver active biomolecules (e.g.,  
growth factors) and can be infiltrated by cells *in vivo*.

20 **Bone regeneration**

10 Hydrogel materials can be useful in bone regeneration in a variety of healing  
situations, for example, following trauma, tumor removal, or spinal fusion. In one  
25 example, hydrogel material, for example, as described above, is applied in the space  
within a spinal fusion cage, containing within that material a bone morphogenetic protein,  
such as BMP-2, TGF- $\beta$ , or BMP-7. This bioactive formulation is useful in enhancing the  
30 probability of successful spinal fusion within the cage. Use of such a material can  
circumvent some of the difficulties with current surgical methods, such as filling the  
space within the cage with amorphous bone allograft (associated with disease  
35 transmission and high cost) and filling the space with bone autograph, for example,  
obtained from the iliac crest (associated with additional morbidity and hospitalization  
40 cost).

40 **Skin regeneration**

Hydrogel material can be useful in skin healing and regeneration, for example, in  
the closure of diabetic foot ulcers, pressure sores, and venous insufficiency ulcers. A  
45 hydrogel, for example, as described above, can be used to delivery growth factors that

5 enhance the closure of these wounds, such as vascular endothelial cell growth factor, a  
TGF $\beta$ , activin, keratinocyte growth factor, platelet-derived growth factor, epidermal  
10 growth factor, or a number of other growth factors. These growth factors may be  
incorporated within the hydrogel either by entrapment or by biospecific affinity (e.g., by  
15 affinity for heparin).

**Example 14: Hydrogel and Non-hydrogel Materials for Bearing Structural Loads**

**Structural materials formed by conjugate addition reactions**

20 Pentaerythritol tetrakis (3-mercaptopropionate)(QT) (424 mg) and 997 mg of  
polyethylene glycol diacrylate 570 (PEGDA) were combined neat and mixed well by  
25 vortexing. Air bubbles were removed by sonicating. PBS 0.01 M solution prepared at  
pH 9.0 (10 mM PBS adjusted to pH 9.0 with triethanolamine (EtOH<sub>3</sub>N) mixed with an  
equal volume of 10 mM PBS adjusted to pH 9.0 with 1N NaOH) (473 mg) was add to the  
30 mixed precursors. The mixture was again vortexed for about 2 minutes to mix well and  
disperse the precursors in the aqueous solution. Following vortexing, the mixture was  
again sonicated to remove air bubbles. At room temperature the material gelled in about  
35 20 to 30 minutes. The resulting gel demonstrated ultimate strength of about 2 MPa and  
withstood deformations of about 35% in compression (Figure 8).

**Control of mechanical properties by hydrophobicity (Pentaerythritol triacrylate)**

40 QT and pentaerythritol triacrylate were mixed neat at a ratio of 489 mg to 596 mg  
(mixture 1). QT and PEGDA 570 were mixed at the ratio indicated above (mixture 2).  
45 100 mg of mixture 1 was combined with 650 mg of solution 2 and 250 mg of PBS pH 9.0  
was added and the entire mixture was vortexed to mix. Similar gels were prepared for  
200, 300, and 400 mg of mixture 1. To these were added 550, 450 and 350 mg of mixture  
2 respectively. To all of these 250 mg of the activating buffer was added. The resulting

5 gels demonstrate a modulation of the mechanical properties using the addition of a hydrophobic coprecursors. An increase in the content of the hydrophobic TA increased the stiffness of the resulting gel (Figure 9).

10

#### Varying thiols to acrylate ratio

5 QT and PEGDA 570 were combined neat to achieve ratios of thiol to acrylate of 0.8, 0.9, 1.0, 1.1, 1.3 by adding the appropriate amount of QT to 1000 mg of PEGDA 570 and adding PBS 9.0 in a quantity to make 75 wt% solid gels. As an example, for the 0.8 thiol to acrylate ratio, 343 mg of QT were added to 1000 mg of PEGDA. The two components were mixed by vortexing and then 448 mg of PBS 9.0 was added. Again the mixture was vortexed and then allow to gel. At thiol acrylate ratios from 1, the resulting gels exhibit significant decreases in ultimate strength. At ratios from 1.0 to 1.3, the gels 10 were less sensitive to changes in the thiol/acrylate ratio. The table below (Table 8) 20 presents the ultimate strengths obtain at each of the thiol/acrylate ratios. 25

30 Table 8: Ultimate strength of gels with various thiol/acrylate ratios

Thiol/acrylate	Ultimate Strength
.8	0.89 ± 0.79
.9	0.64 ± 0.07
1.0	2.21 ± 0.12
1.1	2.29 ± 0.13
1.2	1.93 ± 0.24
1.3	1.82 ± 0.23

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**Control of mechanical properties by addition of particles**

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Precursors, QT and PEGDA 570, were combined as outlined above. Prior to addition of the activating buffer (pH 9.0 PBS), 10 wt% of BaSO<sub>4</sub> particles, balance fixe (0.8  $\mu$ m), were added to the mixed precursors. The activating buffer was added and then the entire mixtures were vortexed and then allowed to gel. The same quantities of the precursors, as noted in the example above, were used. The gels resulting from addition of the BaSO<sub>4</sub> showed some increase in ultimate strength and substantial increase in stiffness (Figures 10 and 11). QT and PEGDA 570 gels were also prepared that were loaded with fumed silica particles (14 nm). 424 mg of QT was combined with 997 mg of PEGDA. Prior to addition of the PBS pH 9.0 buffer, the buffer was loaded with 10% fumed silica. 250 mg of the PBS-fumed silica mixture was add to the QT/PEGDA mixture and then vortexed to mix. The gels resulting from the addition of fumed silica showed significant increases in the ultimate strength. Figure 12 presents the stress strain curve for the fumed silica gels in sub failure compression. At 4 MPa in compression these gels had not failed.

15

**Improvement of mechanical properties by use of emulsifiers**

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Gels were prepared by emulsion by adding sorbitan monooleate to the PBS 9.0 buffer at 4 wt% prior to addition of the buffer to the mixed precursors. QT and PEGDA 570. The surfactant/pH 9.0 buffer mixture was then added to the mixed precursors. Otherwise the same quantities and procedures were used as noted above. The resulting gels exhibited a similar increase in ultimate strength compared to the gels with inorganic particles added but without the associated increase in stiffness (Figures 10 and 11).

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5 **Preparations of materials in solvents including organic solvents**

QT and PEGDA were combined in the ratios indicated above. These precursors were then dissolved at 10 wt% in N-methyl pyrrolidinone (NMP) and then allowed to gel. After the gels cured for 24 hours, they were placed in deionized water to allow solvent exchange. During solvent exchange the volume of the gels reduced 60% to a new equilibrium volume. The resulting equilibrated gels showed soft elastic response to compression at low loads and an increase in stiffness with high deformation in compression. Figure 13 shows a typical stress strain curve for this material.

20 **Modulation of mechanical properties by addition of hydrophilic additives**

QT and PEGDA 570 were combined in the ratios indicated above. Poly(vinyl pyrrolidone) (40,000 MW) (PVP) was dissolved in the PBS 9.0 buffer at 1, 7, and 13%. The same quantities of the precursor mixture and the buffer/PVP solution were combined as indicated above. The mixture was vortexed and allowed to gel. These gels demonstrated the manipulation of mechanical properties due to the addition of the hydrophilic additive. The addition of PVP increased the equilibrium swelling of the gel and an increase in the PVP content further increased the swelling. An addition of PVP also resulted in a weaker softer gel.

35 **Kinetics of QT and PEGDA 570 gelation**

QT and PEGDA were combined neat in the ratios indicated above. After mixing the QT/PEGDA 570 by vortexing, the buffer was not added but instead 100 microliters of the mixture was placed between 20 mm plates of a CVO 120 rheometer with a gap of 100  $\mu\text{m}$  at room temperature. The mixture was maintained at room temperature while the elastic modulus, complex modulus and viscosity were followed with time using shear at 1 Hz with a strain amplitude of 0.3. With progression of the reaction the two combined

5 precursors showed a gel point, defined by the time when the elastic modulus becomes  
greater than the complex modulus, of about 14 hours. Figure 14 shows these two moduli  
for the combined precursors with time.

10 Next, more of the two precursors were combined as described above and the PBS  
5 9.0 buffer was added, as described above. After mixing the precursors and buffer, the  
15 mixture was placed between the plates of the rheometer at 37°C. The frequency and  
amplitude were the same as the previous procedure. With the addition of the buffer, the  
kinetics of the gelation increased dramatically. At 37°C the gel point occurred in about  
11 minutes. Figure 15 presents the moduli for the precursors activated with pH 9.0  
20 10 buffer.

#### Biocompatibility of gels in tissue sites

25 Precursors, QT and PEGDA 570, the buffers, and sorbitan monooleate were all  
filter sterilized. Blanc Fixe particles were sterilized by autoclave. Gel pins were prepared  
30 using the precursors, Blanc Fixe and sorbitan monooleate. Other pins were prepared  
15 using only the two precursors. The gel pins prepared with only the two precursors were  
prepared using the same procedure cited above, except that the activated and vortexed  
mixture was placed in molds to form pins prior to gelation and the gel pins containing the  
35 inorganic particle and the surfactant were prepared by combining the procedures  
described above. The pins were implanted into the right and left dorsal muscles of  
40 rabbits. Reference pins of polyethylene were also used. After 4 weeks histological  
sections of the implants and the surrounding tissue were performed. With both gel types  
tested, no significant differences were apparent compared to the reference materials. Rare  
45 25 macrophages, fibroblasts and neovessels were associated with the implanted gel pins. No  
compositions.

Toxicity and biocompatibility of the low molecular weight precursors can be improved by pre-reacting the precursors at quantities that result in higher molecular weight precursors with remaining functional groups. QT has been functionalized with 10 fold excess PEG-DA 570. The result of this process is a tetrafunctional acrylate consisting of each thiol of the QT capped with a diacrylate leaving a terminal acrylate free. A similar reaction with QT in excess gives a peg capped at each end with three free thiols giving a hexafunctional thiol. The combination of these precursors with 1:1 thiol to acrylate ratio gives similar gels as obtained by the direct application of QT and PEGDA 570 (refer Figures 10 and 11, noting the HT and QA values).

## 10 Control of mechanical properties by preparation of materials from precursors of mixed molecular weight

End functional polymer cross-linked systems have shown that mechanical properties can be manipulated by using multimodal molecular weight distributions. Including a low molar content of a high molecular weight precursor in a low molecular weight system has a synergistic effect giving improved mechanical properties than are achievable by either molecular weight alone. Networks containing only short chains are brittle and networks containing only the larger component have very low ultimate strength. While, bimodal systems having predominately the small chains with a small molar ratio of the larger component show networks with a high ultimate strength compared to the larger molecular weight system and with improved extensibility compared to the short chain monomodal system (Pathak, *supra*, Llorente, M. A., et al.. J Polym. Sci., Polym. Phys. Ed., 19:621, 1981.

Low molar content of a larger molecular weight precursor (i.e., PEGDA 20,000 or PEVAL 20,000) can replace some of the PEGDA 570, creating a bimodal system. The three precursor system can be combined (i.e., QT, PEGDA 570 and PEGDA 20,000) in

5 an aqueous system at a pH providing sufficient reaction kinetics. The results are tougher  
gels. The hydrophilic/hydrophobic balance of this third (larger molecular weight  
precursor) can also be exploited to further modulate properties.

10

5 **Example 15: Preparation of Materials that are Responsive to Environmental**  
**Conditions**

15 **Temperature sensitivity of the precursors**

20 If the precursors are temperature sensitive (i.e., soluble below a critical  
temperature below 37°C and insoluble above the same temperature) but still possess thiol  
or conjugated unsaturated groups, they can be used to prepare gels with easy  
25 manipulation during mixing, but show the increased properties exhibited by gels obtained  
with the hydrophobic precursors. For this purpose either telechelic or grafted functional  
groups on poly(N-isopropylacrylamide), poly(propylene glycol-co-ethylene glycol), or  
30 other temperature sensitive polymers may be used. The precursors can be dissolved in  
water at a temperature below the critical temperature. The precursors solutions can be  
combined and allowed to gel. If the gel experiences a temperature increase above the  
35 critical temperature, then the gel will undergo a transition to a more hydrophobic state.  
The transition may or may not be associated with syneresis depending on the design of  
the temperature sensitive precursors and original concentrations.

35 **pH sensitivity of the precursors**

40 If the precursors are pH sensitive (i.e., soluble above or below a critical pH) but  
still possess thiol or conjugated unsaturated groups, they can be used to prepare gels with  
easy manipulation during mixing but show the increased properties exhibited by gels  
45 obtained with the hydrophobic precursors. For this purpose either telechelic or grafted  
functional groups on poly(N-isopropylacrylamide-co acrylic acid), poly(N-

5 isopropylacrylamide-co dimethylaminoethylmethacrylate) or poly(acrylic acid) or other  
pH sensitive polymers may be used. The solubility of these materials can be altered by  
10 pH. The precursors solutions can be combined and allowed to gel. A pH change in the  
environment changes the hydrophobicity of the gel by protonating or deprotonating the  
15 gel. The transition may or may not be associated with syneresis depending on the design  
of the pH sensitive precursors and original concentrations.

15 Other Embodiments

From the foregoing description, it will be apparent that variations and  
20 modifications may be made to the invention described herein to adopt it to various usages  
and conditions. Such embodiments are also within the scope of the following claims. In  
10 addition, the use of an amine as a biomaterial precursor component is an embodiment  
25 which falls within the scope of the following claims.

All publications and patents mentioned in this specification are herein incorporated  
30 by reference to the same extent as if each individual publication or patent was specifically  
15 and individually indicated to be incorporated by reference.

35 What is claimed is:

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**Claims**

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5                   1. A method for making a biomaterial, said method comprising combining two or  
more precursor components of said biomaterial under conditions that allow  
10                polymerization of the two components, wherein said polymerization occurs through self  
selective reaction between a strong nucleophile and a conjugated unsaturated bond or a  
5                conjugated unsaturated group, by nucleophilic addition, wherein the functionality of each  
component is at least two, and wherein said biomaterial does not comprise unprocessed  
15                albumin, and said unsaturated bond or group is not a maleimide or a vinyl sulfone.

20                2. The method of claim 1, wherein said components are selected from the group  
                      consisting of oligomers, polymers, biosynthetic proteins or peptides, naturally occurring  
10                peptides or proteins, processed naturally occurring peptides or proteins, and  
                      polysaccharides.

25                3. The method of claim 2, wherein said components are functionalized to  
                      comprise a strong nucleophile or a conjugated unsaturated group or a conjugated  
30                unsaturated bond.

15                4. The method of claim 1, wherein said strong nucleophile is selected from the  
                      group consisting of a thiol or a group containing a thiol.

35                5. A method for making a biomaterial, said method comprising combining two or  
more precursor components of said biomaterial under conditions that allow  
40                polymerization of the two components, wherein said polymerization occurs through self  
selective reaction between an amine and a conjugated unsaturated bond or a conjugated  
20                unsaturated group, by nucleophilic addition, wherein the functionality of each component  
                      is at least two, and wherein said biomaterial does not comprise unprocessed albumin, and

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5 said unsaturated bond or group is not a maleimide or a vinyl sulfone.

10 6. The method of claim 1, wherein said conjugated unsaturated group is an acrylate, an acrylamide, a quinone, or 2- or 4-vinylpyridinium.

15 5 7. The method of claim 2, wherein said polymer is selected from the group consisting of poly(ethylene glycol), poly(ethylene oxide), poly(vinyl alcohol), poly(ethylene-co-vinyl alcohol), poly(acrylic acid), poly(ethylene-co-acrylic acid), poly(ethyloxazoline), poly(vinyl pyrrolidone), poly(ethylene-co-vinyl pyrrolidone), poly(maleic acid), poly(ethylene-co-maleic acid), poly(acrylamide), and poly(ethylene oxide)-co-poly(propylene oxide) block copolymers.

20

25 10 8. The method of claim 1, wherein one said component has a functionality of at least three.

30 30 9. The method of claim 2, wherein said peptide comprises an adhesion site, growth factor binding site, or protease binding site.

35 15 10. The method of claim 1, further comprising combining said precursor components with a molecule that comprises an adhesion site, a growth factor binding site, or a heparin binding site and also comprises either a strong nucleophile or a conjugated unsaturated bond or a conjugated unsaturated group.

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45 20 11. The method of claim 10, wherein said strong nucleophile is a thiol or said conjugated unsaturated bond or conjugated unsaturated group is an acrylate, an acrylamide, a quinone, or a vinyl pyridinium.

5 12. The method of claim 1, wherein said biomaterial is a hydrogel.

10 13. The method of claim 1, wherein said biomaterial is degradable.

15 14. The method of claim 1, wherein said biomaterial is made in the presence of  
sensitive biological molecules.

20 5 15. The method of claim 1, wherein said biomaterial is made in the presence of  
cells or tissues.

25 16. The method of claim 1, wherein said biomaterial is made within or upon the  
body of an animal.

30 10 17. The method of claim 1, further comprising combining said precursor  
components with an accelerator prior to polymerization.

35 18. The method of claim 1, further comprising mixing said precursor components  
with a component that comprises at least one conjugated unsaturated bond or conjugated  
unsaturated group and at least one amine reactive group.

40 15 19. The method of claim 15, further comprising applying an additional component  
to the cell or tissue surface, the additional component comprising at least one conjugated  
unsaturated bond or conjugated unsaturated group and at least one amine reactive group.

45 20. A biomaterial formed by combining two or more precursor components of a  
biomaterial under conditions that allow polymerization of the two components, wherein

5                   said polymerization occurs through self selective reaction between a strong nucleophile  
10                  and a conjugated unsaturated bond or a conjugated unsaturated group, by nucleophilic  
                  addition, wherein the functionality of each component is at least two, said biomaterial  
                  does not comprise unprocessed albumin, and said unsaturated bond or group is not a  
5                    maleimide or a vinyl sulfone.

15                  21. The biomaterial of claim 20, wherein said component is selected from the  
                  group consisting of oligomers, polymers, biosynthetic proteins or peptides, naturally  
                  occurring peptides or proteins, processed naturally occurring peptides or proteins, and  
20                  polysaccharides.

10                  22. The biomaterial of claim 21, wherein said components are functionalized to  
25                  comprise a strong nucleophile or a conjugated unsaturated bond or a conjugated  
                  unsaturated group.

30                  23. The biomaterial of claim 20, wherein said strong nucleophile is selected from  
                  the group consisting of a thiol, or a group containing a thiol.

35                  24. A biomaterial formed by combining two or more precursor components of a  
                  biomaterial under conditions that allow polymerization of the two components, wherein  
                  said polymerization occurs through self selective reaction between an amine and a  
40                  conjugated unsaturated bond or a conjugated unsaturated group, by nucleophilic addition,  
                  wherein the functionality of each component is at least two, said biomaterial does not  
                  comprise unprocessed albumin, and said unsaturated bond or group is not a maleimide or  
20                  a vinyl sulfone.

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5 25. The biomaterial of claim 20, wherein said unsaturated group is an acrylate, an  
acrylamide, a quinone, or a vinylpyridinium.

10 26. The biomaterial of claim 21, wherein said polymer is selected from the group  
consisting of poly(ethylene glycol), poly(ethylene oxide), poly(vinyl alcohol),  
5 poly(ethylene-co-vinyl alcohol), poly(acrylic acid), poly(ethylene-co-acrylic acid),  
poly(ethyloxazoline), poly(vinyl pyrrolidone), poly(ethylene-co-vinyl pyrrolidone),  
poly(maleic acid), poly(ethylene-co-maleic acid), poly(acrylamide), and poly(ethylene  
15 oxide)-co-poly(propylene oxide) block copolymers.

20 27. The biomaterial of claim 20, wherein one said component has a functionality  
10 of at least three.

25 28. The biomaterial of claim 21, wherein said peptide comprises an adhesion site,  
growth factor binding site, or protease binding site.

30 29. The biomaterial of claim 20, further comprising a molecule that comprises an  
adhesion site, a growth factor binding site, or a heparin binding site and also comprises  
15 either a strong nucleophile or a conjugated unsaturated bond or a conjugated unsaturated  
35 group.

40 30. The method of claim 29, wherein said strong nucleophile is a thiol or said  
conjugated unsaturated bond or conjugated unsaturated group is an acrylate, a quinone, or  
a vinyl pyridinium.

45 20 31. The biomaterial of claim 20, wherein said biomaterial is a hydrogel.

5 32. The biomaterial of claim 20, wherein said biomaterial is degradable.

10 33. The biomaterial of claim 20, wherein said biomaterial is made in the presence  
of sensitive biological molecules.

15 5 34. The biomaterial of claim 20, wherein said biomaterial is made in the presence  
of cells or tissues.

20 35. The biomaterial of claim 20, wherein said biomaterial is made within or upon  
the body of an animal.

25 36. The biomaterial of claim 20, further comprising an accelerator.

30 10 37. The biomaterial of claim 20, further comprising a component that comprises at  
least one conjugated unsaturated bond or conjugated unsaturated group and a least one  
amine reactive group.

35 15 38. The biomaterial of claim 34, further comprising an additional component  
coupled to the cell or tissue surface, the additional component comprising at least one  
conjugated unsaturated bond or conjugated unsaturated group and being coupled to the  
cell or tissue surface via by reaction of at least one amine reactive group.

40 45 39. A method for delivering a therapeutic substance to a cell, tissue, organ, organ  
system, or body of an animal said method comprising the steps of contacting said cell,  
tissue, organ, organ system or body with the biomaterial of claim 20 or 24, wherein said  
biomaterial contains a therapeutic substance, whereby said therapeutic substance is

5 delivered to said cell, tissue, organ, organ system, or body of an animal.

10 40. The method of claim 39, wherein said therapeutic substance is selected from the group consisting of proteins, naturally occurring or synthetic organic molecules, viral particles, and nucleic acid molecules.

15 5 41. The method of claim 39, wherein said therapeutic substance is a prodrug.

20 42. The method of claim 40, wherein said nucleic acid molecule is DNA or RNA.

25 43. The method of claim 40, wherein said nucleic acid molecule is an antisense nucleic acid molecule.

30 10 44. A method of regenerating a tissue, said method comprising introducing a scaffold to a site, under conditions which permit cell ingrowth, said scaffold comprising the biomaterial of claim 20 or 24.

35 45. The method of claim 44, wherein said scaffold has been pre-seeded with cells.

40 15 46. The method of claim 44, wherein said tissue is selected from the group consisting of bone, skin, nerve, blood vessel, and cartilage.

45 47. A method of preventing adhesions, thrombosis, or restenosis, said method comprising the steps of contacting a site with the biomaterial precursor components of claim 20 or 24; and polymerizing said components at said site.

5           48. A method of sealing a fluid or gas flow, said method comprising the steps of  
contacting a site within the body of an animal with the biomaterial precursor components  
of claim 20, 24, or 37; and polymerizing said components at said site.

10           49. The method of claim 48, wherein said site is a lung, blood vessel, skin, dura  
5           barrier, and intestine.

15           50. A method of encapsulating a cell or tissue, said method comprising the steps  
of combining the precursor components of a biomaterial with a cell or tissue; and  
20           polymerizing said components, wherein said polymerization occurs through self selected  
reaction between a strong nucleophile and a conjugated or a conjugate unsaturated group,  
10           unsaturated bond, and wherein said cell or tissue is encapsulated by said polymerized  
25           biomaterial.

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Figure 1

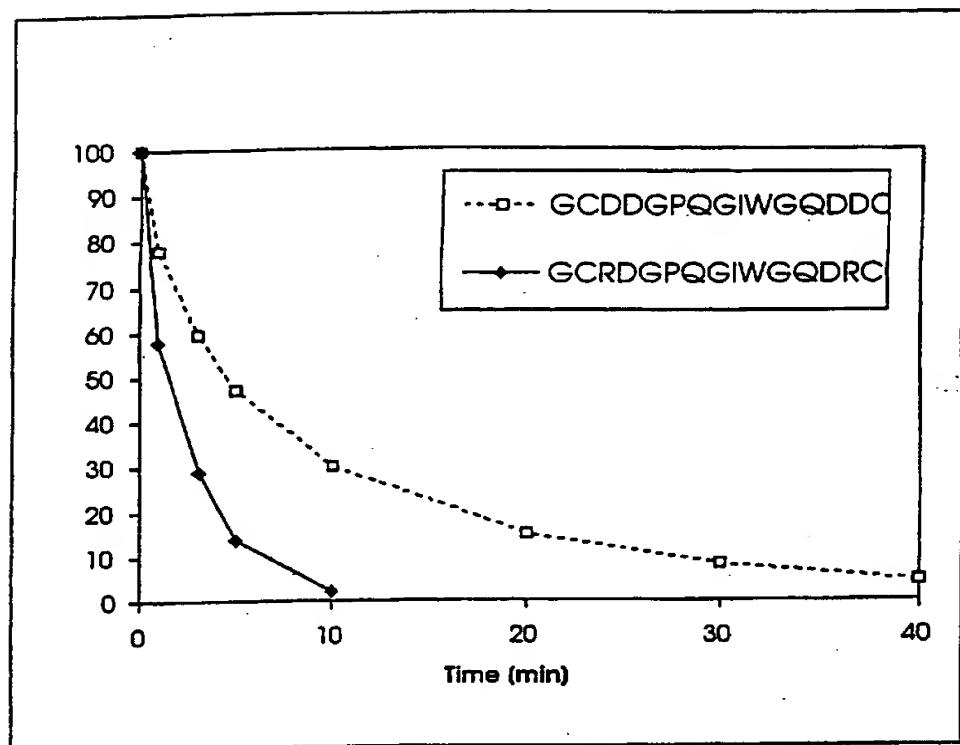


Figure 2

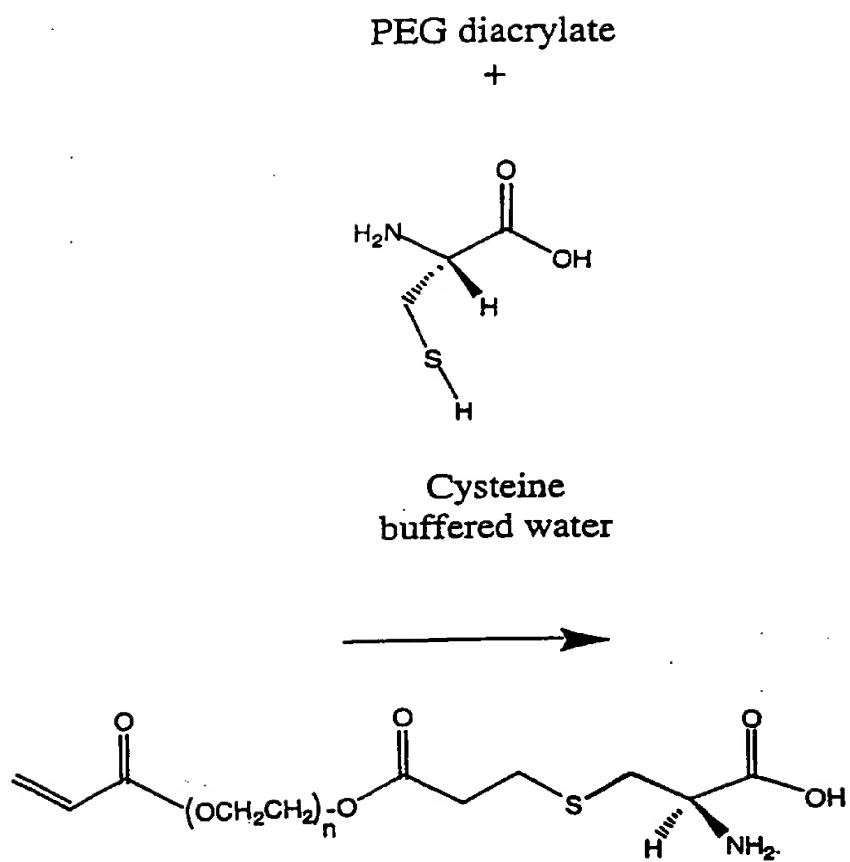


Figure 3

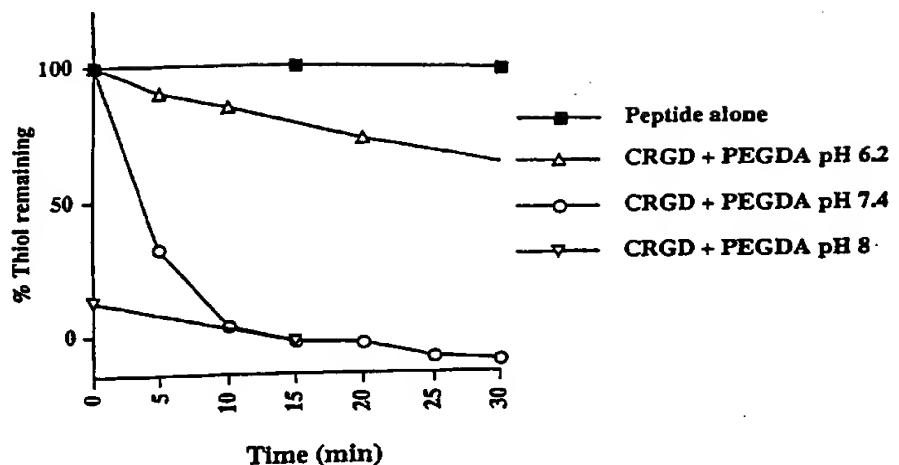


Figure 4

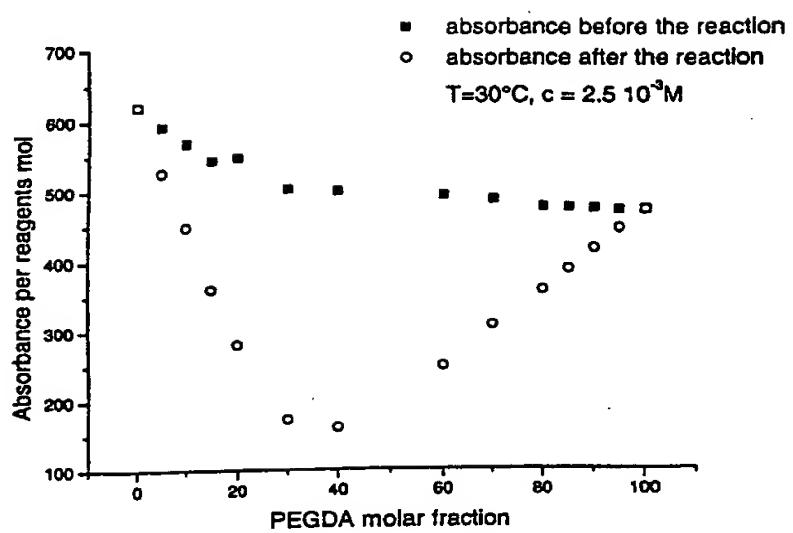


Figure 5

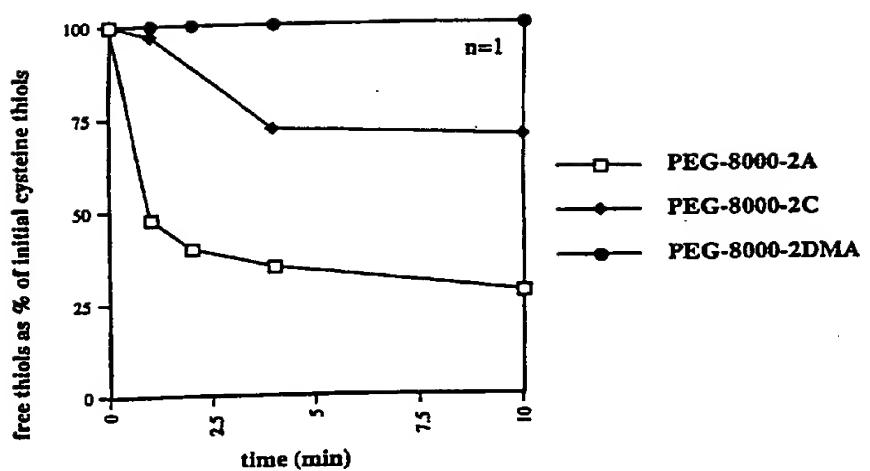


Figure 6

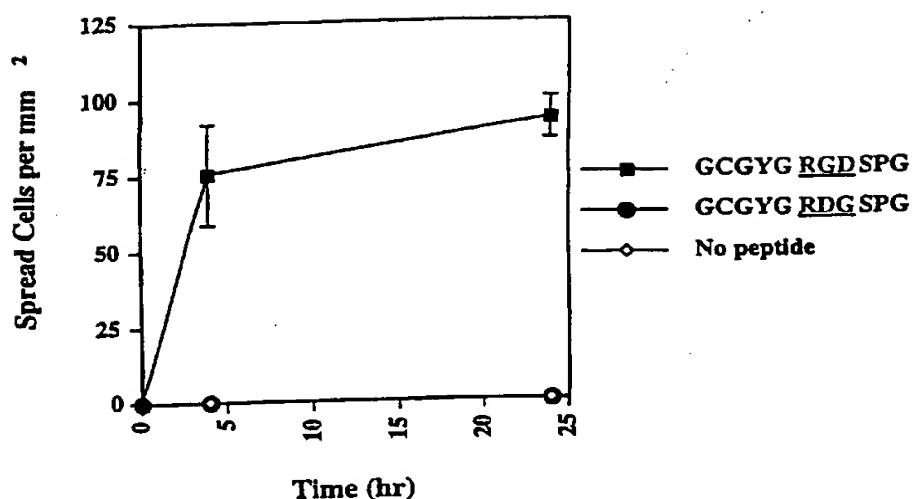
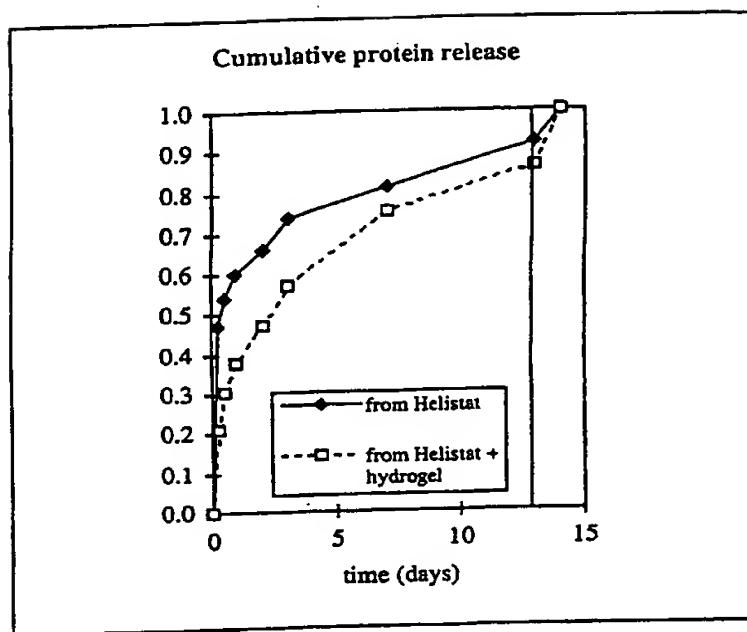


Figure 7



8 / 15

Figure 8

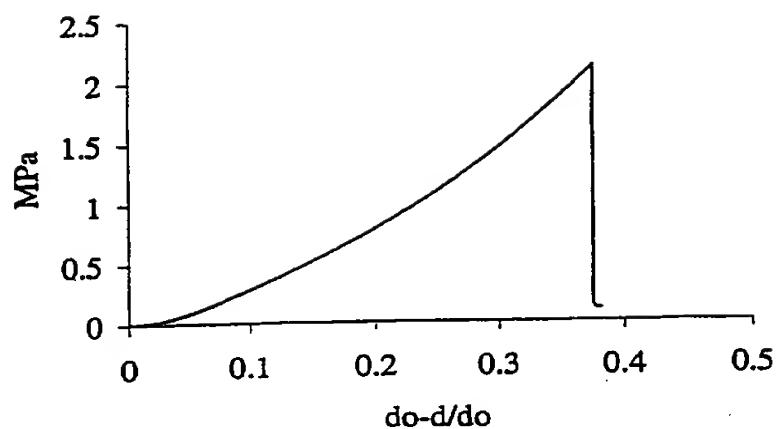


Figure 9

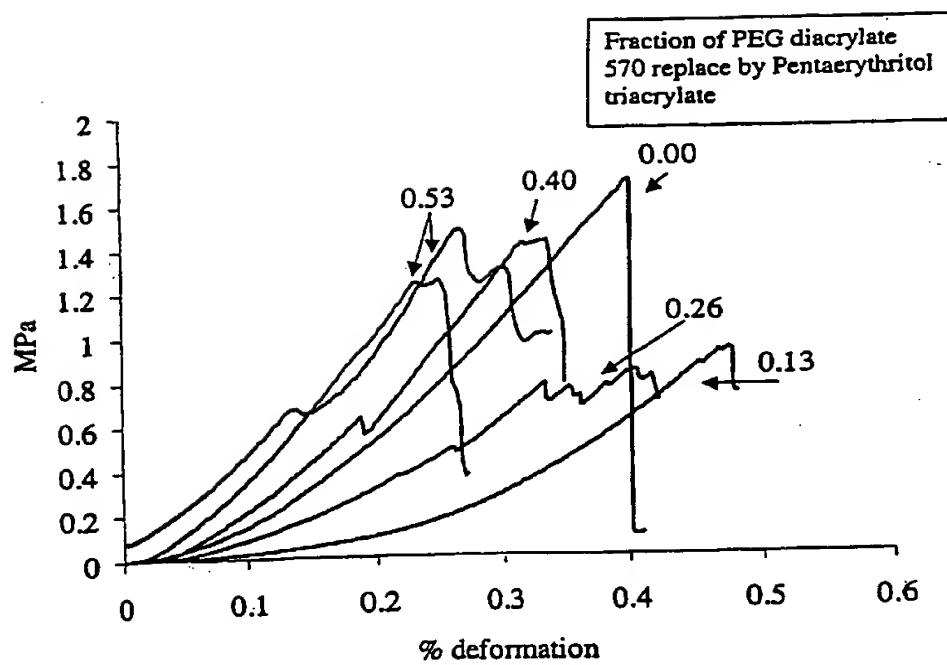


Figure 10

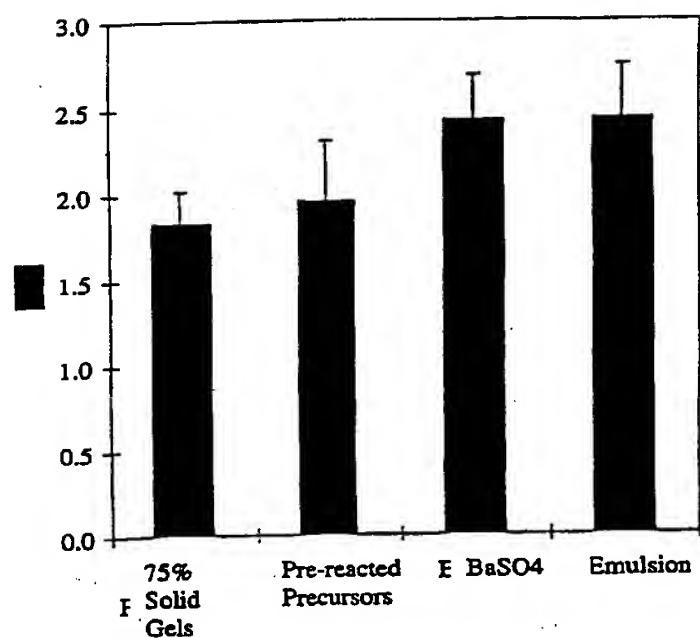


Figure 11

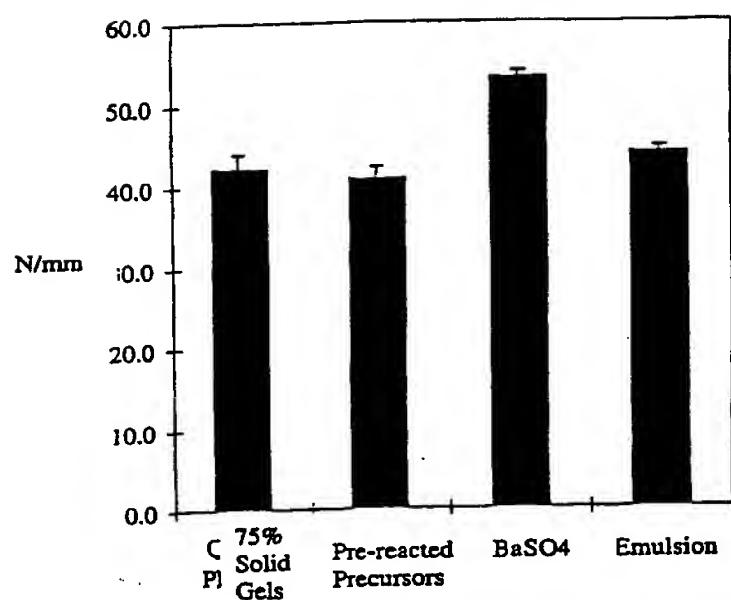


Figure 12

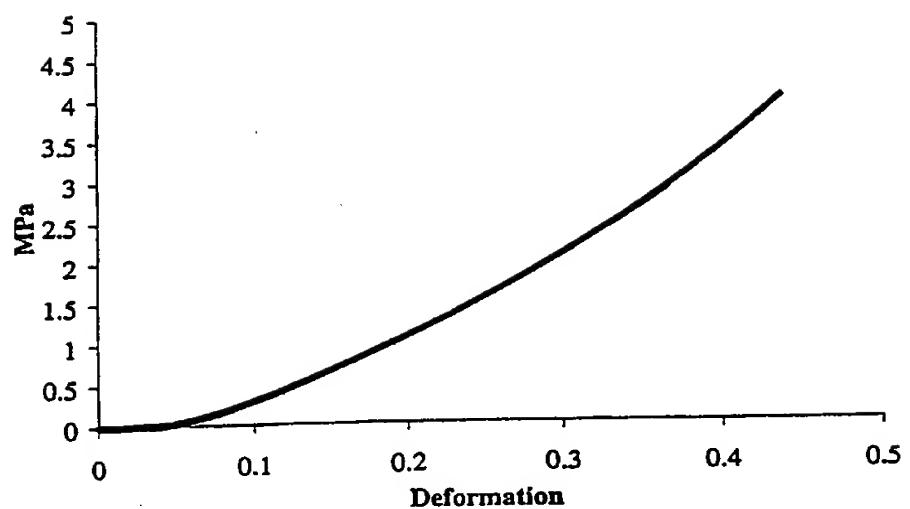


Figure 13

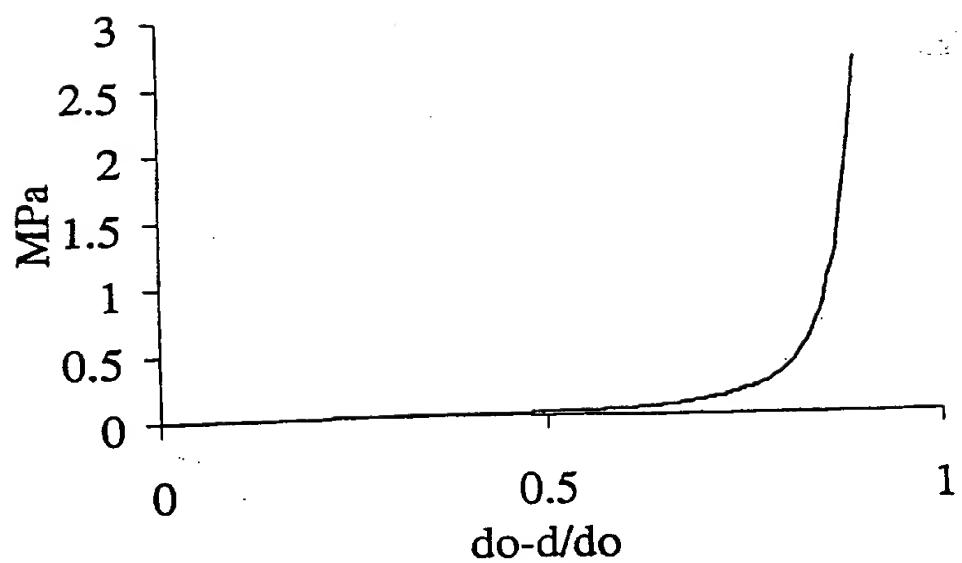


Figure 14

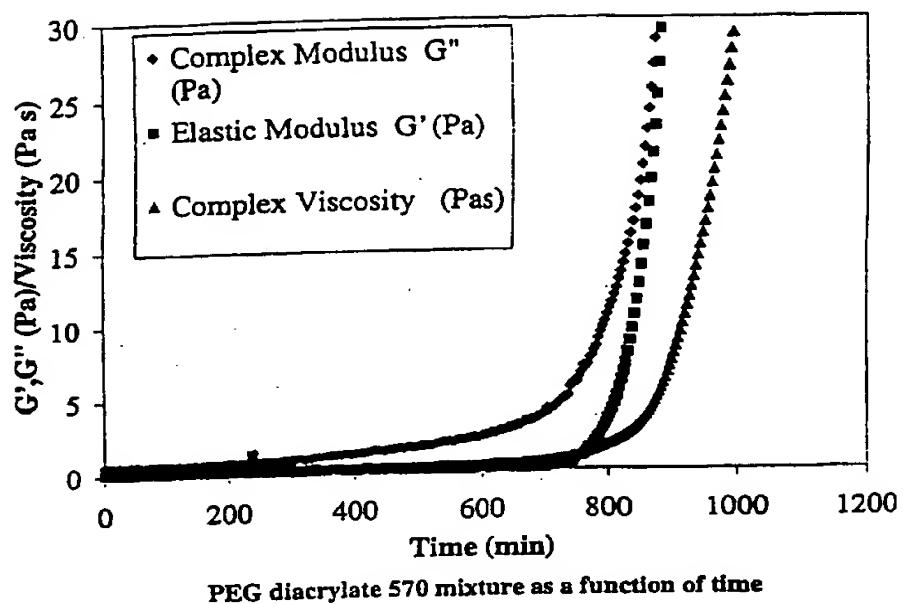
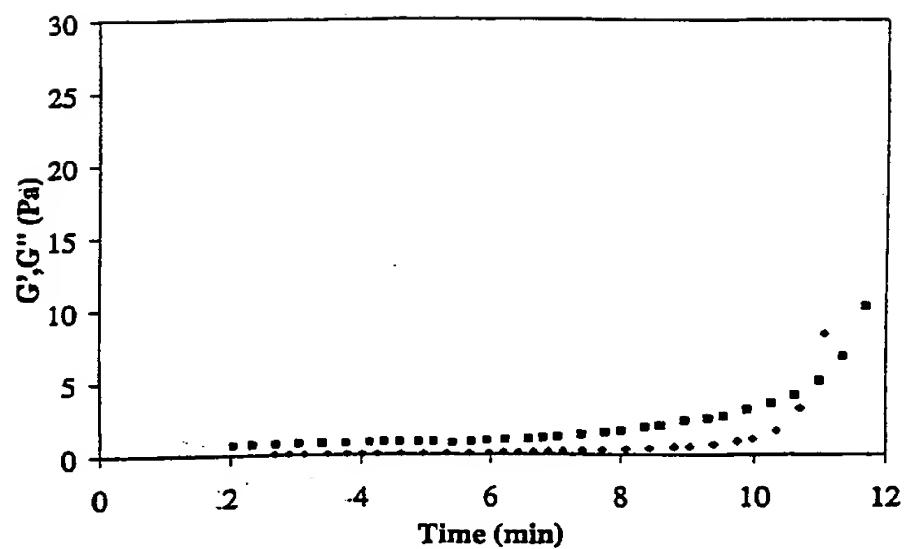


Figure 15



**INTERNATIONAL SEARCH REPORT**

1. International application No.  
PCT/US00/02608

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(7) :Please See Extra Sheet.  
US CL :Please See Extra Sheet.

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 525/54.1; 530/812, 815, 816, 817; 435/177, 180, 181, 182; 436/531, 532, 533, 534, 535

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

NONE

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

NONE

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,268,305 A (RIBI et al) 07 December 1993, see entire document.	1-50
Y	US 5,427,915 A (RIBI et al) 27 June 1995, see entire document.	1-50
Y	US 5,567,422 A (GREENWALD) 22 October 1996, see entire document.	1-50
Y	US 5,635,207 A (GRINSTAFF et al) 03 June 1997, see entire document.	1-50

Further documents are listed in the continuation of Box C.  See patent family annex.

• Special categories of cited documents:		
•A• document defining the general state of the art which is not considered to be of particular relevance	•T•	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
•B• earlier document published on or after the international filing date	•X•	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
•L• document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	•Y•	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
•O• document referring to an oral disclosure, use, exhibition or other means	•a•	document member of the same patent family
•P• document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search Date of mailing of the international search report

13 APRIL 2000

10 MAY 2000

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**INTERNATIONAL SEARCH REPORT**

International application No.  
PCT/US00/02608

**A. CLASSIFICATION OF SUBJECT MATTER:**  
IPC (7):

C08G 63/48, 63/91; C12N 11/02, 11/04, 11/06, 11/08; G01N 33/544, 33/545, 33/546, 33/549

**A. CLASSIFICATION OF SUBJECT MATTER:**  
US CL :

525/54.1; 530/812, 815, 816, 817; 435/177, 180, 181, 182; 436/531, 532, 533, 534, 535